Edgar Filing: KANAYA SUSAN M - Form 4

TZANTANZA OTTOANTN

KANAYA S	SUSAN M										
Form 4											
October 23,	2018										
FORM	ЛД								OMB AF	PROVAL	
CONIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check th				0 /					Expires:	January 31,	
if no lon subject t		AENT O	F CHAN	GES IN BENEFICIAL OWN				NERSHIP OF		2005	
0	Section 16.				SECURITIES				Estimated a burden hour	rs per	
Form 5		sugnt to	Section 1	6(a) of th	a Sacuriti	os Er	vehange	e Act of 1934,	response	0.5	
obligatio	ons Section 17(•	1935 or Section	h		
may con	lunue.			vestment	•	- ·			L		
<i>See</i> Insta 1(b).	ruction	20(11)	or the fi	i v estiment	compun	, 1100	. 01 17 1	0			
(Print or Type	Responses)										
1. Name and	Address of Reporting	Person *	2 Issue	r Name and	Ticker or '	Fradin	a	5. Relationship of 1	Reporting Pers	on(s) to	
KANAYA SUSAN M Symbol				er Name and Ticker or Trading				Issuer			
				Centryx, Inc. [CCXI]							
(Last)	(First) (1	Middle)		f Earliest Tr	-	-		(Check	c all applicable)	
()	()			Day/Year)	unsaction			Director	10%	Owner	
C/O CHEM	2018			_X_Officer (give titleOther (specify							
MAUDE A	VENUE							below) EVP,	below) CFO and Sec.		
	(Street)		4 If Ame	endment Da	te Original					g(Check	
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
					,			_X_ Form filed by O			
MOUNTA	IN VIEW, CA 94	043						Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	le I - Non-D	Derivative S	Securi	ties Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of	2. Transaction Date	A. Deer	ned	3.	4. Securit	ies Ac	quired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Executio	n Date, if	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				Securities	Ownership		
(Instr. 3)		any (Month/I	Day/Year)					Beneficially Owned	Form: Direct Benefic (D) or Owners	Beneficial Ownership	
		(Wond)	Juy/ I cui)	(11301:0)				Following	Indirect (I)	(Instr. 4)	
						(A)		Reported	(Instr. 4)		
						or		Transaction(s) (Instr. 3 and 4)			
G				Code V	Amount	(D)	Price	(msu: 5 and 1)			
Common	10/20/2018			M ⁽¹⁾	33,333	А	\$0	71,468	D		
Stock											
Common	10/20/2018			F	17,940	D	\$	53,528	D		
Stock	2012012010			-	(2)	-	11.41				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. 1 De Sea (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(3)</u>	10/20/2018		М	33,333	<u>(4)</u>	<u>(4)</u>	Common Stock	33,333	

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
KANAYA SUSAN M C/O CHEMOCENTRYX, INC. 850 MAUDE AVENUE MOUNTAIN VIEW, CA 94043			EVP, CFO and Sec.				

Signatures

/s/ Susan M. Kanaya **Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were acquired upon vesting of restricted stock units awarded on October 24, 2016.
- (2) Represents shares withheld upon vesting of restricted stock units to satisfy tax withholding obligation.
- (3) Each restricted stock unit represents a contingent right to receive one share of CCXI common stock.

The restricted stock units awarded on October 24, 2016, vest in three equal installments on October 20, 2017, October 20, 2018, and
(4) October 20, 2019, subject to the Reporting Person's continued employment or service relationship with the Issuer through each such vesting date. Vested shares will be delivered to the Reporting Person within thirty (30) days following the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.