

Leroux Lilian
Form 3
March 22, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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2005
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burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Leroux Lilian

(Last) (First) (Middle)

1001 AIR BRAKE AVENUE

(Street)

WILMERDING,Â PAÂ

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/12/2019

3. Issuer Name **and** Ticker or Trading Symbol

WESTINGHOUSE AIR BRAKE TECHNOLOGIES
CORP [WAB]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other

(give title below) (specify below)

President - Transit

6. Individual or Joint/Group

Filing(Check Applicable Line)

__X__ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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(Instr. 5)

Phantom Stock	03/01/2021 ⁽¹⁾	03/01/2021	Common Stock	1,100	\$ ⁽²⁾	D	Â
Phantom Stock	03/01/2022 ⁽³⁾	03/01/2022	Common Stock	2,250	\$ ⁽²⁾	D	Â
Phantom Stock	12/31/2021 ⁽⁴⁾	12/31/2021	Common Stock	2,500	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Leroux Lilian 1001 AIR BRAKE AVENUE WILMERDING, PA	Â	Â	Â President - Transit	Â

Signatures

David L. DeNinno, POA for Lilian
Leroux

03/22/2019

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares of Phantom Stock vest at a rate of 50% per year beginning March 1, 2020.
- (2) Each share of phantom stock is the economic equivalent of one share of Westinghouse Air Brake Technologies Corporation's common stock.
- (3) Shares of Phantom Stock vest at 33.33% per year beginning March 1, 2020.
- (4) Shares of Phantom Stock vest 50% on December 31, 2019, 25% on December 31, 2020, and 25% on December 31, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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