## Edgar Filing: Paul Lisa - Form 4

Paul Lisa

Form 4											
August 07, 20	017										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long subject to Section 10 Form 4 or	F CHANGES IN BENEFICIAL OWNI SECURITIES					NERSHIP OF	Expires: Estimated a burden hou response	rs per			
Form 5 obligation may conti <i>See</i> Instru 1(b).	$\frac{1}{1}$ Section 1	7(a) of the		ility Hold	ing Com	bany	Act of	e Act of 1934, f 1935 or Sectio 40		0.0	
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Paul Lisa			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
	OCLARO, INC. [OCLR]					(Check all applicable)					
			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>07/25/2017</li></ul>				Director       10% Owner         Officer (give title       Other (specify below)         EVP of Human Resources				
(Street) 4.			4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mont SAN JOSE, CA 95131				th/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any		3.	4. Securiti on(A) or Dis (D) (Instr. 3, 4	es Ac	quired of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	07/25/2017			A <u>(1)</u>	50,000	А	\$0	233,460	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Title Amoun Underl Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Paul Lisa 225 CHARCOT AVE SAN JOSE, CA 95131			EVP of Human Resources				
Signatures							
David L. Teichmann, Attorney-in-Fact		08/07/20	17				
**Signature of Reporting Person		Date					
Evenlaw attack of Da							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On August 10, 2016, the reporting person was awarded performance stock units ("PSUs") covering 50,000 shares of common stock. On July 25, 2017, the Compensation Committee of Oclaro, Inc. determined that the performance criteria had been achieved and the shares

(1) Subject to the PSUs will begin vesting 25% on August 10, 2017 ("Initial Vesting Date") and 6.25% every February 10th, May 10th, August 10th and November 10th following the Initial Vesting Date over the three years of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.