Patton Charles R. Form 4 May 02, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Patton Charles R.

(First)

2. Issuer Name and Ticker or Trading Symbol

AMERICAN ELECTRIC POWER

CO INC [AEP]

(Month/Day/Year)

AMERICAN ELECTRIC POWER, 1 05/01/2019 RIVERSIDE PLAZA

(Middle)

(Street) 4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| COLUMBUS, C | JH 43215 |
|-------------|----------|
|-------------|----------|

| ((                           | ity) | (State) (                               | Table Table   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                  |                    |  |  |   |
|------------------------------|------|---|---|--|---|------------------|--------------------|--|--|---|
| 1.Title<br>Securi<br>(Instr. | ty   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8)  | 4. Securities Acquired ansaction(A) or Disposed of (D) de (Instr. 3, 4 and 5) |                  |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                              |      |   |   | Code V   | Amount  | (A)<br>or<br>(D) | Price              | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Com                          |      | 05/01/2019                              |   | M  | 1,280<br>(1)  | A                | \$<br>83.67<br>(4) | 27,771   | D  |   |
| Comi                         |      | 05/01/2018                              |   | F  | 580   | D                | \$<br>83.67<br>(4) | 27,191   | D  |   |
| Com                          |      | 05/01/2019                              |   | D  | 700   | D                | \$<br>83.67<br>(4) | 26,491   | D  |   |
| Com                          | mon  | 05/01/2019                              |   | F  | 525 (2)   | D                | \$                 | 25,966   | D  |   |

#### Edgar Filing: Patton Charles R. - Form 4

Stock 84.95 (5) \$ Common 05/01/2019 F 485 (3) D 84.95 25,481 D Stock (5) by 401(k) Common 1,029 I Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. Number ion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--------------------------------------|--|---|--------------------|---|--|
|   |   |   |   | Code V                               | / (A) (D)  | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | (1)   | 05/01/2019                              |   | M                                    | 1,280  | 05/01/2019  | 05/01/2019         | Common<br>Stock   | 1,280                                  |

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Patton Charles R.
AMERICAN ELECTRIC POWER
1 RIVERSIDE PLAZA
COLUMBUS, OH 43215

**Executive Vice President** 

### **Signatures**

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Charles R.
Patton

05/02/2019

\*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of Mr. Patton's restricted stock units (1,280) that were granted 2/23/2016 vested on 5/1/2019. Upon vesting 580 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (2) A portion of Mr. Patton's restricted stock units (1,157) that were granted 2/20/2017 vested on 5/1/2019. Upon vesting 525 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (3) A portion of Mr. Patton's restricted stock units (1,068) that were granted 2/19/2018 vested on 5/1/2019. Upon vesting 485 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (4) Value is based on 20 day average stock closing price.
- (5) Value is based on the closing price of the stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.