Edgar Filing: Baity Glenn - Form 4

Baity Glenn											
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January 11, 2	018										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION						COMMERCION	OMB APPROVAL				
Washington					IES AND EXCHANGE COMMISSION gton, D.C. 20549			OMB Number:	3235-0287		
Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATE 5. Filed p ¹⁵ Section 1	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							Expires: January 3 200 Estimated average burden hours per response 0		
(Print or Type R	esponses)										
Baity Glenn Symbol			Symbol	Issuer Name and Ticker or Trading nbol CADIA PHARMACEUTICALS				5. Relationship of Reporting Person(s) to Issuer			
			INC [A					(Chec	k all applicable	;)	
(Last) 3611 VALLI SUITE 300	(First) EY CENTRE 1	(Middle) DRIVE,	3. Date of (Month/D 01/10/20	-	ansaction			Director Officer (give below)		Owner er (specify	
			ndment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN DIEGO), CA 92130								Iore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any	n Date, if	3. Transactic Code (Instr. 8) Code V	4. Securiti on(A) or Dis (Instr. 3, 4) Amount	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/10/2018			M	50,179	A	\$ 2.18	120,292	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.18	01/10/2018		М	50,179	<u>(1)</u>	03/22/2022	Common Stock	50,179

Reporting Owners

Reporting Owner Name / Address	Relationships					
to Porting O there i there of the cost	Director	10% Owner	Officer	Other		
Baity Glenn 3611 VALLEY CENTRE DRIVE, SUITE 300 SAN DIEGO, CA 92130			EVP AND GC			
Signatures						
lel Clenn E						

/s/ Glenn F. 01/11/2018 Baity

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 25% of the shares subject to the Stock Option vested and became exercisable on March 23, 2013. The remaining shares vested and (1) became exercisable thereafter in 36 equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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