Edgar Filing: LANDEC CORP \CA\ - Form 4

Form 4 June 10, 2013 OMB APPROVAL FORM 4 June 10, 2013 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: 3235-0287 Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: January 31, Expires: 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Sec Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director Offorcer (give tile below) -10% Owner Offer (give tile below) COPORATION, 3603 HAVEN AVENUE 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line -X_Form filed by Jon Reporting Person Porm filed by Jon Reporting Person Person (City) (State) (Zip) Table I - Non-Derivative Securitites Acquired, Disposed of, or Beneficially Owned	LANDEC CORP \CA	A\							
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 3235-027 Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 4 or Form 5 of Section 16. Form 4 or Form 5 of Section 17(a) of the Public Utility Holding Company Act of 1934, outden hours per subject to Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b) S. Relationship of Reporting Person - Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b) S. Relationship of Reporting Person - Section 17(a) of the Public Utility Holding Company Act of 1940 S. Relationship of Reporting Person (s) to Issuer 1. Name and Address of Reporting Person - Itobin Robert 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person (s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)									
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Tobin Robert Symbol Issuer Quart Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) C/O LANDEC 06/07/2013 -XDirector 10% Owner CORPORATION, 3603 HAVEN 06/07/2013 -XDirector 00/07/2013 AVENUE 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by One Reporting Person -Form filed by One Reporting Person MENLO PARK, CA 94025 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect	(Print or Type Responses))							
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MENLO PARK, CA 94025 -X_ Form filed by One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if 3. 4. Securities 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect				-					
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Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect	(City) (State	e) (Zip)	Table I - Non-J	Derivative Securities A		of, or Beneficia	ally Owned		
(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following (Instr. 4) (Instr. 4) (A) Reported Transaction(s)		ay/Year) Execution any	on Date, if Transactio Code	nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
Code V Amount (D) Price (Instr. 3 and 4)			Code V		(Instr. 3 and 4)				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.	Reminder: Report on a se	eparate line for each	class of securities bene	ficially owned directly o	or indirectly.				
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.SEC 1474 (9-02)				information cont required to respo displays a currer	ained in this form and unless the for	are not m			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)						I			

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Expiration Date	Underlying Securities	Der

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Y	ſear)	(Instr. 3 and	4)	Sec (In:
				Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit (RSU)	<u>(2)</u>	06/07/2013		М	3,496	06/07/2013	<u>(1)</u>	Common Stock	3,496	

Reporting Owners

Relationships					
Director	10% Owner	Officer	Other		
Х					
	06/10/2013				
	Date				
	2100001	Director 10% Owner X 06/10/2013	Director 10% Owner Officer X 06/10/2013		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units will vest on the 1st anniversary of the grant date and will be automatically settled in shares of common stock.
- (2) The restricted stock units convert into common stock of Landec Corporation on a 1 for 1 basis

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.