### Edgar Filing: FACTSET RESEARCH SYSTEMS INC - Form 5

#### FACTSET RESEARCH SYSTEMS INC

Form 5

December 02, 2013

FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer KENNEDY KIERAN M. Symbol FACTSET RESEARCH SYSTEMS (Check all applicable) INC [FDS] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X \_ Officer (give title Other (specify (Month/Day/Year) below) below) 08/31/2014 Senior Vice President **601 MERRITT 7** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NORWALK, CTÂ 06851 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (State) (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price FactSet Â Â Â Â Â Â Common Â 8,769 D Stock Persons who respond to the collection of information SEC 2270 Reminder: Report on a separate line for each class of contained in this form are not required to respond unless securities beneficially owned directly or indirectly. (9-02)the form displays a currently valid OMB control number.

> Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amo Underlying Secu (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Non-Qualified Stock Option (right to buy)	\$ 65.67	Â	Â	Â	Â	Â	08/14/2009(1)	08/14/2015	FactSet Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 35.8	Â	Â	Â	Â	Â	10/24/2009(1)	10/24/2015	FactSet Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 66.46	Â	Â	Â	Â	Â	10/23/2011(2)	10/23/2016	FactSet Common Stock	5
Non-Qualified Stock Option (right to buy)	\$ 94.84	Â	Â	Â	Â	Â	11/01/2012(1)	11/01/2021	FactSet Common Stock	5
Non-Qualified Stock Option (right to buy)	\$ 92.22	Â	Â	Â	Â	Â	11/01/2013(1)	11/01/2022	FactSet Common Stock	7
Non-Qualified Stock Option (right to buy)	\$ 92.22	Â	Â	Â	Â	Â	11/01/2014(3)	11/01/2022	FactSet Common Stock	1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KENNEDY KIERAN M. 601 MERRITT 7 NORWALK, CT 06851	Â	Â	Senior Vice President	Â			

# **Signatures**

/s/ Kieran M.
Kennedy

\*\*Signature of Reporting Person

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Twenty percent of these option grants became exercisable one year after grant date, with the remainder continuing to vest at 1.67% per month thereafter.
- Forty percent of these options became exercisable based upon the achievement of certain financial performance criteria by the issuer following the completion of a two-year performance period from the grant date. The remainder continues to vest at a rate of 1.67% per month.
- These options shall become exercisable based on the achievement by the issuer of certain financial performance criteria. Options that do not vest at the end of the two-year performance period will be forfeited. Options that become exercisable vest 40% after the two-year performance period with the remainder vesting at 1.67% per month thereafter.

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#### **Remarks:**

This Form 5 is being filed because Kieran Kennedy is no longer considered a Named Executive C

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.