LANDEC CORP \CA\ Form 4/A June 01, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

C/O 3603 HAVEN

AVENUE, SUITE E

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* PANKOPF TONIA L

(First)

2. Issuer Name and Ticker or Trading Symbol

LANDEC CORP \CA\ [LNDC]

3. Date of Earliest Transaction

(Month/Day/Year) 05/26/2016

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year) 05/31/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MENLO PARK, CA 94025

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership (Instr. 4) Following (Instr. 4) Reported

(A) Transaction(s) or

Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date **Underlying Securities** Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

### Edgar Filing: LANDEC CORP \CA\ - Form 4/A

| (Instr. 3)   | Price of<br>Derivative<br>Security |                       | (Month/Day/Year) | (Instr. | 8) | Acquires<br>(A) or<br>Disposes<br>(D)<br>(Instr. 3,<br>and 5) | d of |                  |                    |                 |  |
|--|------------------------------------|-----------------------|------------------|---------|----|---|------|------------------|--------------------|-----------------|--|
|  |                                    |                       |                  | Code    | V  | (A)   | (D)  | Date Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Right to<br>Buy<br>Restricted<br>Stock Unit<br>(RSU) | (1)                                | 05/25/2016 <u>(5)</u> |                  | M       |    | 5,282<br>(5)  |      | 05/25/2017(5)    | <u>(4)</u>         | Common<br>Stock | 5,282<br>( <u>5)</u>                   |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| <b>Fg</b>   | Director      | 10% Owner | Officer | Other |  |  |  |
| PANKOPF TONIA L<br>C/O 3603 HAVEN AVENUE<br>SUITE E<br>MENLO PARK, CA 94025 | X             |           |         |       |  |  |  |
| <u> </u>  |               |           |         |       |  |  |  |

### **Signatures**

/s/ Rebecca J
Hilt 06/01/2016

\*\*Signature of Pate Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units convert into common stock of Landec Corporation on a 1 for 1 basis.
- (4) Restricted Stock Units will vest on the 1st anniversary of the grant date and will be automatically settled in shares of common stock.
- (5) The proper transaction date for this grant is May 25, 2016 versus May 26, 2016. Therefore, the amount granted becomes 5,282 versus 5,222.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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