Groupon, Inc. Form 4 February 17, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

obligations

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Stevens Brian

Symbol

5. Relationship of Reporting Person(s) to Issuer

Groupon, Inc. [GRPN]

(Check all applicable)

(Last) (First) (Middle)

(7:-

3. Date of Earliest Transaction

(Month/Day/Year)

2. Issuer Name and Ticker or Trading

02/15/2016

Director 10% Owner Other (specify X\_ Officer (give title

Chief Accounting Officer

below)

**OMB APPROVAL** 

Estimated average

burden hours per

Number:

Expires:

response...

3235-0287

January 31,

2005

0.5

C/O GROUPON, INC., 600 WEST

(Street)

(State)

CHICAGO AVENUE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CHICAGO, IL 60654

(C:+-)

(City)	(State) (A	Table	I - Non-De	erivative S	Securit	ties Acq	puired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if		Γransaction(A) or Disposed of			5. Amount of Securities	6. Ownership Form: Direct	Indirect
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(D) (Instr. 3,	4 and 5  (A)  or  (D)	5) Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Class A Common Stock	02/15/2016		M	2,500	` /	\$ 0	98,230	D	
Class A Common Stock	02/15/2016		F(1)	910	D	\$ 2.89	97,320	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Groupon, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	02/15/2016		M	2,500	11/15/2015(3)	<u>(3)</u>	Class A Common Stock	2,500

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stevens Brian

C/O GROUPON, INC.

600 WEST CHICAGO AVENUE

Chief Accounting Officer

CHICAGO, IL 60654

### **Signatures**

/s/ Erin G. Stone, by Power of Attorney 02/17/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the issuer to satisfy the mandatory tax withholding requirement upon vesting of restricted stock units. This is not an open market sale of securities.
- (2) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.
- 2,500 of the restricted stock units reported on this line will vest monthly over a ten-month period beginning on November 15, 2015, subject to Mr. Stevens' continued employment with the Company through each vesting date. In the event that a new chief financial officer is appointed prior to the time that all of the restricted stock units reported on this line are fully vested, 50% of any unvested restricted stock units will be forfeited and the remaining 50% of any unvested restricted stock units will continue to vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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