Groupon, Inc. Form 3 May 09, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

À Randolfi Michael O

(Last)

(First)

Statement

(Month/Day/Year)

04/28/2016

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

Groupon, Inc. [GRPN]

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GROUPON, INC., 600 WEST CHICAGO AVENUE

(Middle)

(Street)

Director _X__ Officer

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

CFO Person

Form filed by More than One

Reporting Person

CHICAGO, ILÂ 60654

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

(I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable Expiration Title Date

Amount or Number of Shares

Derivative Security

Security: Direct (D) or Indirect

(I)

1

(Instr. 5)

Class A

Stock

Common

Restricted Stock Units $04/25/2017\underline{(1)}$ Â $\underline{(1)}$

<u>(1)</u>

696,153 \$ <u>(2)</u>

D Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Randolfi Michael O

C/O GROUPON, INC.
600 WEST CHICAGO AVENUE

CHICAGO, ILÂ 60654

Signatures

/s/ Erin G. Stone, by Power of Attorney

05/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - 287,581 of the restricted stock units reported on this line will vest on April 25, 2017, 220,000 of the restricted stock units reported on this line will vest quarterly in four equal installments beginning on July 25, 2017, and 188,572 of the restricted stock units reported on this
- line will vest quarterly in four equal installments beginning on July 25, 2018, in each case subject to Mr. Randolfi's continued employment with the Company through each vesting date.
- (2) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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