Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx Pharmaceutical Inc. Form 4 December 23, 2016

December 23, 2	2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB A	OMB APPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					COMMISSION	OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or					NERSHIP OF	Expires: Estimated a burden hou	rs per				
Form 5 obligations may continu <i>See</i> Instructi 1(b).	e. Section 17(a	a) of the		ility Hold	ing Com	ipany	Act of	e Act of 1934, f 1935 or Sectio 40	response n	0.5	
(Print or Type Res	ponses)										
1. Name and Adda Sharp Shalini	ress of Reporting	Person <u>*</u>	Symbol	Name and yx Pharm				5. Relationship of Issuer (Chec	Reporting Pers		
(Last) (First) (Middle) C/O ULTRAGENYX PHARMACEUTICAL INC., 60 LEVERONI COURT			3. Date of Earliest Transaction (Month/Day/Year) 12/23/2016					Director 10% Owner Officer (give title Other (specify below) below) CFO & Executive Vice President			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
NOVATO, CA	94949								Iore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
	. Transaction Date Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8) Code V	4. Securi n(A) or D (D) (Instr. 3,	ties A ispose 4 and (A) or	cquired d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•	
Common 1 Stock 1	2/23/2016			М	6,500	A	\$ 0.81	51,887	D		
Reminder: Report	on a separate line	for each cl	lass of secur	ities benefi	-		-	indirectly.	tion of s	EC 1474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number op f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 0.815	12/23/2016		М	6,500	<u>(1)</u>	08/01/2022	Common Stock	6,500	

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Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Sharp Shalini C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949			CFO & Executive Vice President			
Signatures						
/s/ Ruben Garcia, attorney in fact for Shalini						

Sharp	12/23/2016		
onurp	**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/4 of the option vested one year from May 21, 2012 and then 1/48th monthly thereafter.

Remarks:

Exhibit 24 - POA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.