Last Will & Testament of John Q. Sherman fbo James Louis Sherman Form 4

March 24, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

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Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

Common

Common

Stock

Stock

03/21/2014

03/20/2014

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Last Will & Testament of John Q. Issuer Symbol Sherman fbo William Patrick STANDARD REGISTER CO [SR] (Check all applicable) Sherman (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 147 BEVERLY PLACE 03/20/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting DAYTON, OH 45419 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Beneficially (D) or Beneficial Code (D) any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common  $S^{(1)}$ 03/20/2014 400 D 191,312  $D^{(8)}$ 8.93 Stock \$ Common 03/21/2014  $S^{(1)}$ 800 D 8.72 190,512  $D^{(9)}$ Stock (2) Common  $D^{(10)}$  $S^{(1)}$ 03/20/2014 400 D 191,683 8.92 Stock

 $S^{(1)}$ 

 $S^{(1)}$ 

1,200

400

D

D

\$ 8.7

(3)

\$

8.93

190,483

191,683

 $D^{(10)}$ 

D (11)

					<u>(4)</u>		
Common Stock	03/21/2014	S <u>(1)</u>	1,200	D	\$ 8.7	190,483	D (11)
Common Stock	03/20/2014	S <u>(1)</u>	400	D	\$ 9.02 (5)	191,706	D (12)
Common Stock	03/21/2014	S(1)	1,200	D	\$ 8.7	190,506	D (12)
Common Stock	03/20/2014	S <u>(1)</u>	400	D	\$ 8.93	191,283	D (13)
Common Stock	03/21/2014	S <u>(1)</u>	800	D	\$ 8.7	190,483	D (13)
Common Stock	03/20/2014	S <u>(1)</u>	620	D	\$ 8.97 (6)	191,463	D (14)
Common Stock	03/21/2014	S <u>(1)</u>	980	D	\$ 8.68 (7)	190,483	D (14)
Class A Stock						83,895	D (9)
Class A Stock						83,895	D (10)
Class A Stock						83,895	D (11)
Class A Stock						83,895	D (12)
Class A Stock						83,895	D (13)
Class A Stock						83,895	D (14)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	Execution Date, if any	of Derivativ	(Month/Day/Year)	Amount of Underlying Securities	8. Price of Derivative Security (Instr. 5)	Deriv Secur Bene
Derivative			Securities	S	(Instr. 3 and 4)		Own

SEC 1474

(9-02)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
		10% Owner	Officer	Other	
Last Will & Testament of John Q. Sherman fbo William Patrick Sherman 147 BEVERLY PLACE DAYTON, OH 45419		X			
Last Will & Testament of John Q. Sherman fbo Helen Louise Sherman Tormey 147 BEVERLY PLACE DAYTON, OH 45419		X			
Last Will & Testament of John Q. Sherman fbo Patricia Lucille Sherman Begley 147 BEVERLY PLACE DAYTON, OH 45419		X			
Last Will & Testament of John Q. Sherman fbo Mary Catherine Sherman Newshawg 147 BEVERLY PLACE DAYTON, OH 45419		X			
Last Will & Testament of John Q. Sherman fbo Charles Francis Sherman 147 BEVERLY PLACE DAYTON, OH 45419		X			
Last Will & Testament of John Q. Sherman fbo James Louis Sherman 147 BEVERLY PLACE DAYTON, OH 45419		X			

# **Signatures**

Arthur F. McMAhon, III, attorney-in-fact 03/24/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 26, 2014, each of the John Q. Sherman Trusts (as defined below) entered into a separate Rule 10b5-1 trading plan. Each sale reported in this Form 4 was effected pursuant to the applicable trust's Rule 10b5-1 trading plan.

Reporting Owners 3

Follo Repo Trans (Instr

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- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.70 to \$8.74, inclusive. The applicable reporting person undertakes to provide The Standard Register Company, any security holder of The Standard Register Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes(2), (3), (4), (5), (6) and (7) to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.70 to \$8.72, inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.92 to \$8.95, inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$9.01 to \$9.02, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.92 to \$9.00, inclusive
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.60 to \$8.71, inclusive.
  - These securities are owned solely by the trust established under the Last Will and Testament of John Q. Sherman fbo William Patrick Sherman, which may be deemed to be a member of a "group" for purposes of Section 13(d) under the Exchange Act with the trust established under the Last Will and Testament of John Q. Sherman fbo Helen Louise Sherman Tormey, the trust established under the
- (8) Last Will and Testament of John Q. Sherman fbo Patricia Lucille Sherman Begley, the trust established under the Last Will and Testament of John Q. Sherman fbo Mary Catherine Sherman Newshawg, the trust established under the Last Will and Testament of John Q. Sherman fbo Charles Francis Sherman, and the trust established under the Last Will and Testament of John Q. Sherman fbo James Louis Sherman. These six trusts are sometimes referred to collectively in this Form 4 as the "John Q. Sherman Trusts".
- (9) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo William Patrick Sherman.
- (10) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo Helen Louise Sherman Tormey.
- (11) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo Patricia Lucille Sherman Begley.
- (12) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo Mary Catherine Sherman Newshawg.
- (13) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo Charles Francis Sherman.
- (14) These securities are owned solely by the Last Will and Testament of John Q. Sherman fbo James Louis Sherman.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.