Edgar Filing: Broadcom Ltd - Form 4

Broadcom Lt Form 4											
FORM	ГЛ	D STATES	SECUR	ITIES A	ND EX(CHAI	NGE	COMMISSION	T	PPROVAL	
Check thi if no long subject to Section 1 Form 4 of	s box ger STATE 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							Number: Expires: Estimated a burden hou	Expires: January 3 ⁻ 200 Estimated average burden hours per	
Form 5 obligation may conti See Instru 1(b).	Filed p ¹⁵ Section 1								response on	. 0.5	
(Print or Type R	Responses)										
1. Name and Address of Reporting Person * Spears Kirsten M.2. Issue Symbol			Symbol	Issuer Name and Ticker or Trading nbol				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	Broadcom Ltd [AVGO]										
	(First) O TECHNOLO RIDDER PARI		3. Date of (Month/Data 12/06/20	•	ansaction			Director X Officer (giv below) Principa			
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN JOSE,	CA 95131							Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	urity (Month/Day/Year) Execution Date, if		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Ordinary				Code V		(D)	Price	(Instr. 3 and 4)			
Shares, no par value	12/06/2016 <u>(1)</u>	<u>)</u>		А	3,000 (2)	А	\$0	26,758 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Spears Kirsten M. C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131			Principal Accounting Officer				
Signatures							
/s/ Patricia McCall, Attorney-in-Fact for Kirste Spears	en M	12/08/2016					
**Signature of Reporting Person		Date					
Explanation of Responses	-						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award approved on December 6, 2016 with an effective grant date of December 15, 2016.
- Grant of Restricted Share Units ("RSUs") pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan (the "Avago
 (2) Plan"). Upon vesting thereof, the Reporting Person is entitled to one (1) Ordinary Share for each one (1) RSU. The RSUs vest as to 25% of the shares subject thereto on each annual anniversary of December 15, 2016.
- (3) Includes 23,139 RSUs and 61 shares acquired under the Issuer's Employee Stock Purchase Plan on September 14, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.