Edgar Filing: Broadcom Ltd - Form 4

Broadcom L Form 4	td										
December 1	5, 2016										
FORM	ГЛ								OMB AP	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287	
	Check this box if no longer								Expires:	January 31, 2005	
subject to Section 1 Form 4 c Form 5		SECUI	RITIES			ERSHIP OF Act of 1934,	Estimated a burden hour response	ated average hours per			
obligatio may con <i>See</i> Instr 1(b).	ns tinue. Section 17	(a) of the	Public U	tility Hol		npan	y Act of	1935 or Section	I		
(Print or Type]	Responses)										
Kawwas Charlie B S			2. Issuer Name and Ticker or Trading Symbol Broadcom Ltd [AVGO]					5. Relationship of Reporting Person(s) to Issuer			
				f Earliest T	ransaction			(Check all applicable)			
C/O AVAG	O TECHNOLO RIDDER PARK	GIES US		Day/Year)			1	Director X Officer (give below) SVP & C		Owner r (specify cer	
				mendment, Date Original Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SAN JOSE,	, CA 95131						i	Person	ore man One Rep	orting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			Code	4. Securit our Dispose (Instr. 3, 4	ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Ordinary Shares, no par value	12/13/2016			М	20,000	А	\$ 71.86	87,581 <u>(1)</u>	D		
Ordinary Shares, no par value	12/13/2016			S	10,000	D	\$ 178.89 (2)	77,581 <u>(1)</u>	D		
Ordinary Shares, no par value	12/13/2016			S	10,000	D	\$ 180.58	67,581 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 71.86	12/13/2016		М		20,000	<u>(3)</u>	06/09/2021	Ordinary Shares, no par value	20,000

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Kawwas Charlie B C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131			SVP & Chief Sales Officer	
Signatures				
/s/ Rebecca Boyden, Attorney-in-Fact for Cl Kawwas	harlie B		12/15/2016	
** Signature of Reporting Person			Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 65,611 Restricted Share Units.

Transaction executed in multiple trades at prices ranging from \$178.81 to \$179.02 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a

(2) above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC start, the issuer of a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

Share price performance option (the "Option") granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan.(3) The Option vests over four years, with 25% vesting on each anniversary of the date of grant, such that the Option will be fully vested on June 10, 2018. The exercisability requirements of the Option have been met.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.