

Edgar Filing: LEGG MASON, INC. - Form 13F-HR

LEGG MASON, INC.
Form 13F-HR
February 14, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: December 31, 2012

Check here if Amendment ☐; Amendment Number:
This Amendment (Check only one.): ☐ is a restatement.
☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Legg Mason, Inc.
Address: 100 International Drive
Baltimore, MD 21202

13F File Number: 28-1700

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Thomas Lemke
Title: General Counsel
Phone: 410-454-4475

Signature, Place, and Date of Signing:

Thomas Lemke Baltimore, MD February 14, 2013

Report Type (Check only one.):

☐ 13F HOLDINGS REPORT.
☐ 13F NOTICE.
☒ 13F COMBINATION REPORT.

List of Other Managers Reporting for this Manager:

Form 13F File Number	Name
28-2204	Brandywine Global Investment Management, LLC
28-1701	Legg Mason Capital Management, Inc.
28-6397	Legg Mason Investment Counsel & Trust Co, NA
28-6399	LMM, LLC
28-10245	Western Asset Management Company
28-6251	Legg Mason International Equities
28-11345	Legg Mason Investment Counsel, LLC

Edgar Filing: LEGG MASON, INC. - Form 13F-HR

28-11611	ClearBridge Investments, LLC
28-11198	Legg Mason Asset Management (Japan) Co. Ltd
28-13247	ClearBridge Global Currents Investment Management, LLC
28-3428	Private Capital Management, L.P.
28-3780	Royce & Associates, LLC
28-0377	Batterymarch Financial Management, Inc.
28-14027	Permal Asset Management Inc.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers:	2
Form13F Information Table Entry Total:	48
Form13F Information Table Value Total:	\$173,379 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

No.	13F File Number	Name
1	28-	Miscellaneous
2	28-	Legg Mason Global Asset Management

FORM 13F INFORMATION TABLE

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHARES/ PRN AMT	SH/ PRN	PUT/ CALL	INVSTMT DSCRETN	OTH MANA
ACCENTURE PLC IRELAND	SHS CLASS A	G1151C101	1181	17756	SH		DEFINED	1
ANADARKO PETE CORP	COM	032511107	402	5400	SH		DEFINED	1
APACHE CORP	COM	037411105	338	4300	SH		DEFINED	1
ARCH CAP GROUP LTD	ORD	G0450A105	824	18700	SH		DEFINED	1
BLOCK H & R INC	COM	093671105	621	33400	SH		DEFINED	1
CAMPBELL SOUP CO	COM	134429109	587	16800	SH		DEFINED	1
CAPITAL ONE FINL CORP	COM	14040H105	586	10100	SH		DEFINED	1
CHEVRON CORP NEW	COM	166764100	775	7162	SH		DEFINED	1
CINTAS CORP	COM	172908105	385	9400	SH		DEFINED	1
CONOCOPHILLIPS	COM	20825C104	569	9800	SH		DEFINED	1
CSX CORP	COM	126408103	367	18600	SH		DEFINED	1
CVS CAREMARK CORPORATION	COM	126650100	1166	24100	SH		DEFINED	1
DANA HLDG CORP	COM	235825205	438	28000	SH		DEFINED	1
EMCOR GROUP INC	COM	29084Q100	466	13463	SH		DEFINED	1
EXELON CORP	COM	30161N101	345	11600	SH		DEFINED	1
FLUOR CORP NEW	COM	343412102	1028	17500	SH		DEFINED	1
GENERAL MTRS CO	COM	37045V100	937	32500	SH		DEFINED	1
GOOGLE INC	CL A	38259P508	785	1106	SH		DEFINED	1
HCC INS HLDGS INC	COM	404132102	428	11500	SH		DEFINED	1
ISHARES TR	S&P CITINT TBD	464288117	2081	20211	SH		DEFINED	2

Edgar Filing: LEGG MASON, INC. - Form 13F-HR

ISHARES TR	CORE S&P500 ETF	464287200	35668	249285	SH	DEFINED	2
ISHARES TR	CORE TOTUSB	464287226	4684	42162	SH	DEFINED	2
ISHARES TR	BARCLYS 20+ YR	464287432	8853	73053	SH	DEFINED	2
ISHARES TR	MSCI EAFE INDEX	464287465	16102	283382	SH	DEFINED	2
ISHARES TR	RUSSELL1000VAL	464287598	5543	76116	SH	DEFINED	2
ISHARES TR	RUSSELL1000GRW	464287614	3157	48192	SH	DEFINED	2
ISHARES TR	BARCLYS TIPS BD	464287176	2903	23909	SH	DEFINED	2
ISHARES TR	RUSSELL 2000	464287655	2938	34854	SH	DEFINED	2
ISHARES TR	BARCLYS INTER CR	464288638	2077	18658	SH	DEFINED	2
ISHARES TR	RUSSELL 1000	464287622	2519	31818	SH	DEFINED	2
ITAU UNIBANCO HLDG SA	SPON ADR REP PFD	465562106	433	26300	SH	DEFINED	1
LILLY ELI & CO	COM	532457108	449	9100	SH	DEFINED	1
NEWELL RUBBERMAID INC	COM	651229106	925	41500	SH	DEFINED	1
NEWS CORP	CL B	65248E203	1260	48000	SH	DEFINED	1
NUANCE COMMUNICATIONS INC	COM	67020Y100	382	17100	SH	DEFINED	1
OMNIVISION TECHNOLOGIES INC	COM	682128103	986	70000	SH	DEFINED	1
PEPSICO INC	COM	713448108	767	11200	SH	DEFINED	1
PHILIP MORRIS INTL INC	COM	718172109	352	4200	SH	DEFINED	1
SPDR S&P 500 ETF TR	TR UNIT	78462F103	5400	37886	SH	DEFINED	2
SPDR SERIES TRUST	BRC HGH YLD BD	78464A417	1352	33218	SH	DEFINED	2
UNITEDHEALTH GROUP INC	COM	91324P102	749	13800	SH	DEFINED	1
VANGUARD BD INDEX FD INC	TOTAL BND MRKT	921937835	28664	341110	SH	DEFINED	2
VANGUARD INDEX FDS	TOTAL STK MKT	922908769	5224	71274	SH	DEFINED	2
VANGUARD INDEX FDS	REIT ETF	922908553	21024	319510	SH	DEFINED	2
VANGUARD INDEX FDS	SMALL CP ETF	922908751	5148	63633	SH	DEFINED	2
VANGUARD INTL EQUITY INDEX F	MSCI EMR MKT ETF	922042858	519	11648	SH	DEFINED	2
VULCAN MATLS CO	COM	929160109	438	8400	SH	DEFINED	1
WILLIS GROUP HOLDINGS PUBLIC	SHS	G96666105	554	16500	SH	DEFINED	1