

LESAR DAVID J
Form 4
December 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
LESAR DAVID J

(Last) (First) (Middle)

**3000 N. SAM HOUSTON
PARKWAY E.**

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction
(Month/Day/Year)
12/06/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Exec Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/06/2017		F	(A) or (D) Amount 7,946 (1) Price \$ 43.89	482,685.713 (2)	D	
Common Stock					71,469.24	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8.	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy Common Stock	\$ 53.54							12/07/2016	12/07/2026	Common Stock	114,900
Option to Buy Common Stock	\$ 38.95							12/02/2015	12/02/2025	Common Stock	176,900
Option to Buy Common Stock	\$ 40.75							12/03/2014	12/03/2024	Common Stock	178,100
Option to Buy Common Stock	\$ 50.62							12/04/2013	12/04/2023	Common Stock	137,900
Option to Buy Common Stock	\$ 33.5							12/05/2012	12/05/2022	Common Stock	208,900
Option to Buy Common Stock	\$ 35.57							12/06/2011	12/06/2021	Common Stock	141,900
Option to Buy Common Stock	\$ 39.19							12/01/2010	12/01/2020	Common Stock	108,000
06/2017 Restricted Stock Units	\$ 0 <u>(3)</u>							<u>(4)</u>	<u>(4)</u>	Common Stock	326,229

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032	X		Exec Chairman of the Board	

Signatures

Bruce A. Metzinger, by Power of Attorney 12/07/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
- (1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
 - (2) Includes 488.324 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the periods ended March 31, 2017, June 30, 2017 and September 30, 2017.
 - (3) Each Restricted Stock Unit represents the right to receive one share of common stock.
 - (4) One-half of the Restricted Stock Units vest and distribute as common stock effective December 31, 2018. The other one-half will be valued on December 31, 2018 and distributed as cash in four equal annual installments beginning December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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