#### BENNETT ALAN M

Form 4

August 03, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* BENNETT ALAN M

(First)

(Street)

(State)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

HALLIBURTON CO [HAL]

(Check all applicable)

3. Date of Earliest Transaction

(Month/Day/Year) 08/01/2018

X\_ Director Officer (give title

10% Owner Other (specify

48 FIELD BROOK ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

MADISON, CT 06443

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

27,236 D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: BENNETT ALAN M - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|--------|--|-----|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V | (A)  | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| 2018<br>Restricted<br>Stock<br>Units                | <u>(1)</u>  | 08/01/2018                              |   | A      | 4,221  |     | <u>(2)</u>   | (2)                | Common<br>Stock   | 4,221                            |
| 2017<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 4,335.51                         |
| 2016<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 4,307.858                        |
| 2015<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 4,681.973                        |
| 2014<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 2,777.682                        |
| 2013<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 3,884.199                        |
| 2012<br>Restricted<br>Stock<br>Units                | (1)   |   |   |        |  |     | (2)  | (2)                | Common<br>Stock   | 5,748.225                        |
| Stock<br>Equivalent<br>Units                        | <u>(3)</u>  |   |   |        |  |     | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 872.12                           |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

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### Edgar Filing: BENNETT ALAN M - Form 4

Director 10% Owner Officer Other

BENNETT ALAN M 48 FIELD BROOK ROAD X MADISON, CT 06443

# **Signatures**

/s/ Bruce A. Metzinger, by Power of
Attorney

08/03/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (2) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.
- (3) The security converts to common stock on a one-for-one basis.
- (4) The stock equivalent units were accrued under the Company's Directors' Deferred Compensation Plan and are settled in the Company's common stock following cessation as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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