Edgar Filing: LOWES COMPANIES INC - Form 4

LOWES CO	MPANIES INC	2										
Form 4												
September 17												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								т	PPROVAL			
Washington, D.C. 20549								OMB Number:	3235-0287			
Check thi	s box		vv as	inington,	D.C. 20.	,				January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Expires:	2005			
subject to Section 16. SECURITIES								Estimated				
	Form 4 or									burden hours per response 0.5		
Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,											
obligatior may conti		7(a) of the	Public Ut	ility Hold	ing Com	pany	Act o	of 1935 or Section	n			
See Instru		30(h)	of the In	vestment	Compan	y Act	t of 19	40				
1(b).												
	, , ,											
(Print or Type R	(esponses)											
1. Name and Address of Reporting Person [*] 2. Issuer Name an					I Ticker or Trading			5. Relationship of Reporting Person(s) to				
Maltsbarger	Symbol	ivanic anu		i raum	ig	Issuer						
C	•	COMPA	NIES IN	IC II	OW1							
(Last)	(First)	(Middle)	2. Date of Earliest Transaction					(Che	Check all applicable)			
(Last) (First) (Middle)			(Month/Day/Year)					Director 10% Owner				
1000 LOWE	E'S BOULEVA	RD		09/15/2015				XOfficer (give title Other (specify				
								below) below) CDO & President, Int'l				
	(Street)		4 TC A	1 (D (0.1							
		ndment, Dat	-			6. Individual or Joint/Group Filing(Check						
			rneu(mon	th/Day/Year)				Applicable Line) _X_ Form filed by	One Reporting P	erson		
MOORESV	ILLE, NC 281	17							More than One R	eporting		
								Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Ac	quired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction E			3.	4. Securi			5. Amount of	6. Ownership			
Security	(Month/Day/Ye		on Date, if	TransactionAcquired (A) or					Form: Direct			
(Instr. 3)		any (Month	CodeDisposed of (D)/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)					Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(-)	Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported				
						or		Transaction(s) (Instr. 3 and 4)				
C				Code V		(D)	Price	(Insure and I)				
Common	09/15/2015			А	4,560 (1)	А	\$0	42,913 <u>(2)</u>	D			
Stock					(1)							
Common								3,777.365	Ι	by 401(k)		
Stock								-,		Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 69.44	09/15/2015		А	15,390	<u>(3)</u>	09/15/2025	Common Stock	15,3

Reporting Owners

Reporting Owner Name / Address	Relationships							
i o	Director 10% Owner Officer		Other					
Maltsbarger Richard D 1000 LOWE'S BOULEVARD MOORESVILLE, NC 28117			CDO & Presi	dent, Int'l				
Signatures								
By: /s/ Sandra Felton by power of attorney For: Richard D. Maltsbarger								
<u>**</u> Signature of	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted pursuant to 2006 Long-Term Incentive Plan. The shares will fully vest on September 15, 2018.
- (2) Direct holdings include 178 shares acquired under the Lowe's Employee Stock Purchase Plan.
- (3) The option vests in three annual installments beginning on September 15, 2016.

Remarks:

The information provided for the shares held by the 401(k) Plan in this report is based on a plan statement dated as of August 3 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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