Edgar Filing: THORP TIMOTHY J - Form 4

THORP TIMOTHY J Form 4 October 07, 2002

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

			2. Issuer ALLET		me and Tic ALE)	ker or '	Р	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
() ()				rting	ntification I g Person, voluntary)	Numbe	Mo	Statement for onth/Day/Year /7/02	X	Director 10% Owner X Officer (give title below) Other (specify below)			
									C	VP Investor Relations/Corpora Communications			
Duluth, MN 558					Da	Date of Original (Month/Day/Year)		 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(City)	(State)	(Zip)	Т	able	e I Non-E) erivat	ive Sec	curities Acquired, D	ities Acquired, Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	2. Trans- action Date	2A. Deemed Execution Date.	3. Trans action C (Instr. 8)	ode	4. Securities Acquired (A) or Disposed of (E (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially		6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial		
	(Month/ Day/ Year)	if any (Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock								34.	803 <u>(1)</u>	D			
Common Stock								190.	852 <u>(2)</u>	I	By Children		
Common Stock								696	7 .99 (3)	Ι	By RSOP Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect

Security			Execution			of		Date			• •	5		1	Beneficial
			<i>´</i>	Code				(Melonth/Day/		Secu		(Instr. 5)	-		Ownership
(Instr. 3)	Derivative		if any			Secu	ıriti	e x ear)		(Instr	: 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	. 4	Acq	uire	d					Following	ative	
		Day/	Day/	8)		(A)	or						Reported	Security:	
		Year)	Year)			Disp	oose	d					Transaction(s)	Direct	
						of (I	D)						(Instr. 4)	(D)	
														or	
						(Inst	tr.							Indirect	
						3,4	&							(I)	
					5)									(Instr. 4)	
				Code	V	(A)	(D)	Date	Expira-	Title	Amount				
								Exer-cisable	tion		or				
									Date		Number				
											of				
											Shares				

Explanation of Responses:

(1) Includes shares acquired in exempt transactions under the dividend reinvestment feature of ALLETE's stock purchase and dividend reinvestment plan. This information is based on a plan statement as of September 30, 2002. (2) See explanation (1) above.

Timothy J. Thorp

(3) Includes shares acquired in exempt transactions under ALLETE's retirement savings and stock ownership plan (RSOP). RSOP is the recent consolidation of ALLETE's supplemental retirement and employee stock ownership plans. This information is based on an RSOP statement as of September 30, 2002.

By: /s/ Ingrid Kane-Johnson Ingrid Kane-Johnson f/k/a Ingrid L. Kane for

**Signature of Reporting Person

October 7, 2002 Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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