

Hodnik Alan R
Form 3
May 14, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Hodnik Alan R

(Last) (First) (Middle)

30 WEST SUPERIOR STREET

(Street)

DULUTH,Â MNÂ 55802

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/12/2009

3. Issuer Name **and** Ticker or Trading Symbol
ALLETE INC [ALE]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,983.82

D

Â

Common Stock

1,827.18

I

By RSOP Trust

Common Stock

119.93

I

As Custodian for Children's
Accounts

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect
Beneficial Ownership

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (right to buy)	Â <u>(1)</u>	02/02/2014	Common Stock	1,366	\$ 37.76	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	02/01/2015	Common Stock	1,655	\$ 41.35	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	02/01/2016	Common Stock	2,165	\$ 44.15	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	02/01/2017	Common Stock	2,812	\$ 48.65	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	02/01/2018	Common Stock	6,643	\$ 39.1	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hodnik Alan R 30 WEST SUPERIOR STREET DULUTH, MN 55802	Â X	Â	Â President	Â

Signatures

Alan R. Hodnik 05/14/2009

 Date

**Signature of
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in three equal installments; one-third vested on February 2, 2005, one-third vested on February 2, 2006, and the remainder vested on February 2, 2007.
- (2) The option vested in three equal installments; one-third vested on February 1, 2006, one-third vested on February 1, 2007, and the remainder vested on February 1, 2008.
- (3) The option vested in three equal installments; one-third vested on February 1, 2007, one-third vested on February 1, 2008, and the remainder vested on February 1, 2009.
- (4) The option vests in three equal installments; one-third vested on February 1, 2008, one-third vested on February 1, 2009, and the remainder will vest on February 1, 2010.
- (5) The option vests in three equal installments; one-third vested on February 1, 2009, one-third will vest on February 1, 2010, and the remainder will vest on February 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.