Edgar Filing: PIGOTT MARK C - Form 4

PIGOTT MA Form 4	RK C										
March 08, 20											
FORM	4 _{UNITE}	D STATES	S SECUR	ITIES A	ND EXCI	HAN	GE CO	MMISSION	OMB A	PPROVAL	
~					D.C. 2054		02 00		Number:	3235-0287	
Check this if no long	or					T A T			Expires:	January 31, 2005	
subject to Section 16 Form 4 or Form 5	F CHANGES IN BENEFICIAL OWNE SECURITIES Section 16(a) of the Securities Exchange A						Estimated a burden hou response	average Irs per			
obligation may conti <i>See</i> Instru 1(b).	nue. Section 1	7(a) of the	Public Uti	lity Hold		any A	Act of 1	935 or Section	L		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> PIGOTT MARK C			8					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of	_	_			(Check	all applicable	e)	
· · /	AVENUE NE		(Month/Da 03/06/20	ay/Year)			-	_X Director _X Officer (give t elow) Execu		6 Owner er (specify	
	(Street)		4. If Amen Filed(Mont		-		А	. Individual or Joi pplicable Line) X_ Form filed by O	ne Reporting Pe	erson	
BELLEVUE	, WA 98004						P	Form filed by Me erson	ore than One Ro	eporting	
(City)	(State)	(Zip)	Table	I - Non-D	erivative Se	curiti	es Acqui	red, Disposed of,	or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Executi any	emed on Date, if /Day/Year)	Code	4. Securitie nor Disposed (Instr. 3, 4	d of (È))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK				Code V	Amount	(D)	Price	(Instr. 3 and 4) 2,886,445	D		
COMMON STOCK								1,308,892	I <u>(1)</u>	EASCLIFFE COMPANY	
COMMON STOCK								283,280	Ι	WIFE AND CHILDREN	
COMMON STOCK (SIP) (2)	03/06/2018			J <u>(3)</u>	301.155	A	\$ 70.28	84,972.334 (<u>4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) of Disposed of (I (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y or	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	An Nu Sha	
STOCK OPTION (5)	\$ 30.81					01/01/2012	02/06/2019	COMMON STOCK	19	
STOCK OPTION (5)	\$ 36.12					01/01/2013	02/02/2020	COMMON STOCK	13	
STOCK OPTION (5)	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	9	
STOCK OPTION (5)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13	
STOCK OPTION (5)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	10	
STOCK OPTION (5)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	8	
COMMON STOCK (LTIP) <u>(6)</u>	<u>(6)</u>	03/06/2018		J <u>(7)</u>	158.07	(6)	(6)	COMMON STOCK	1	
COMMON STOCK (DICP) (8)	<u>(8)</u>	03/06/2018		J <u>(9)</u>	393.951	(8)	(8)	COMMON STOCK	39	
COMMON STOCK (DCP) (10)	<u>(10)</u>	03/06/2018		J <u>(11)</u>	120.439	(10)	(10)	COMMON STOCK	12	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director 10% Owner		Officer	Other			
PIGOTT MARK C	Х		Executive Chairman				
777 106TH AVENUE NE							

BELLEVUE, WA 98004

Signatures

Mark C. Pigott

03/08/2018

<u>**</u> Signature of	
Reporting Person	

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a corporation in which Reporting Person is a shareholder. Holding is reported voluntarily as Reporting Person is not a controlling shareholder and has no voting or investment power with respect to the Issuer's securities.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) March 6, 2018 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (4) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (5) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (6) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (7) Dividend on share units held in deferred phantom stock account under LTIP reinvested pursuant to LTIP.
- (8) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (9) Dividend on share units held in deferred phantom stock account under DICP reinvested pursuant to DICP.
- (10) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions
- (11) Dividend on share units held in deferred phantom stock account under DCP reinvested pursuant to DCP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.