## Edgar Filing: ASTEC INDUSTRIES INC - Form 4

| ASTEC INDUSTRIES INC   |  |   |   |  |  |
|--|--|---|---|--|--|
| Form 4<br>October 13, 2006                                   |  |   |   |  |  |
|  |  |   | OMB A   | PPROVAL                                |  |
|  | TES SECURITIES AND EXCHANGE<br>Washington, D.C. 20549                                  | COMMISSION  | OMB<br>Number:  | 3235-0287                              |  |
| Check this box<br>if no longer                               |  |   | Expires:  | January 31,<br>2005                    |  |
| subject to STATEMEN<br>Section 16.                           | T OF CHANGES IN BENEFICIAL OW<br>SECURITIES  | NERSHIP OF  | Estimated a   | average                                |  |
| Form 4 or  | Sheenning  |   | burden hou response   | •                                      |  |
| abligations  | t to Section 16(a) of the Securities Exchan  | -   |   |  |  |
| may continue. Section 17(a) of                               | the Public Utility Holding Company Act of 0(h) of the Investment Company Act of 19     |   | 1   |  |  |
| See Instruction 3<br>1(b).                                   | o(ii) of the investment company Act of 12  | -10   |   |  |  |
|  |  |   |   |  |  |
| (Print or Type Responses)                                    |  |   |   |  |  |
| 1. Name and Address of Reporting Person<br>ELLIOTT JEFFREY J | <ul> <li>n<sup>*</sup> 2. Issuer Name and Ticker or Trading</li> <li>Symbol</li> </ul> | 5. Relationship of Reporting Person(s) to Issuer            |   |  |  |
|  | ASTEC INDUSTRIES INC [aste]  | (Check  | all applicable  | e)                                     |  |
| (Last) (First) (Middle                                       | · · - ··· - ··· - ··· - ··· · · · · · ·  |   |   |  |  |
| 1725 SHEPHERD RD   | (Month/Day/Year)<br>10/11/2006   | Director<br>X Officer (give                                 |   | o Owner<br>er (specify                 |  |
|  |  | below)<br>Pre   | below)<br>esident-JCI   |  |  |
| (Street)   | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check                   |   |  |  |
|  | Filed(Month/Day/Year)  | Applicable Line)<br>_X_ Form filed by One Reporting Person  |   |  |  |
| CHATTANOOGA, TN 37421  |  | _X_ Form filed by O<br>Form filed by Mo<br>Person           |   |  |  |
| (City) (State) (Zip)   | Table I - Non-Derivative Securities Ac   | quired, Disposed of,  | or Beneficial   | ly Owned                               |  |
| (Instr. 3) any   | . Deemed 3. 4. Securities<br>ecution Date, if TransactionAcquired (A) or               | 5. Amount of 6<br>Securities F<br>Beneficially (<br>Owned I | 5. Ownership<br>Form: Direct<br>D) or<br>ndirect (I)<br>Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial |  |
|  | or<br>Code V Amount (D) Price  | (Instr. 3 and 4)  |   |  |  |
| Common<br>Stock  |  | 1,248.8222 I  | [   | By 401(k)<br>Plan                      |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>Disposed of (<br>(Instr. 3, 4, au<br>5) | rivative Expiration Date<br>curities (Month/Day/Year)<br>quired (A) or<br>posed of (D) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                  |
|---|---|---|---|--|---|--|---------------------|---|-----------------|----------------------------------|
|   |   |   |   | Code V                                 | (A)   | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount or<br>Number of<br>Shares |
| Stock<br>Options                                    | <u>(1)</u>  |   |   |  |   |  | <u>(1)</u>          | <u>(1)</u>  | Common<br>Stock | 10,000                           |
| Phantom<br>Stock                                    | <u>(2)</u>  | 10/11/2006                              |   | А                                      | 173.8752  |  | (2)                 | (2)   | Common<br>Stock | 173.8752                         |

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## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |               |       |  |  |
|--|---------------|-----------|---------------|-------|--|--|
| Reporting o when runne / runness                               | Director      | 10% Owner | Officer       | Other |  |  |
| ELLIOTT JEFFREY J<br>1725 SHEPHERD RD<br>CHATTANOOGA, TN 37421 |               |           | President-JCI |       |  |  |
| Signatures   |               |           |               |       |  |  |
| Robert C. Taylor, attorney in fa<br>Elliott                    | ct for Jef    | f         | 10/13/2006    |       |  |  |

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option dates and prices have been previously reported for options currently outstanding.
- (2) Reported transaction is Astec's quarterly contribution to reporting person's SERP account that was used to purchase Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.