Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

NAVISTAR INTERNATIONAL CORP

Form 4

Common

Common

Premium Share Units

Stock

Stock

(1)

12/16/2011

December 20, 2011

| December 20 |), 2011 | | | | | | | |
|---|---|--|---|---|---|--|----------|--|
| FORM | I /I | | | | | | | |
| Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may continue See Instruction 1(b). | s box er STATEM 6. Filed purs sinue. Section 17(a | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | |
| (Print or Type R | Responses) | | | | | | | |
| 1. Name and Address of Reporting Person * COCHRAN PHYLLIS E | | | mbol | Ticker or Trading | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 4201 WINFIELD ROAD | | | Date of Earliest Ta Month/Day/Year) 2/16/2011 | ransaction | below) | titleX_ Other below) Parts Group Su | | |
| | (Street) | | If Amendment, Day/Year | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| WARRENV | TILLE, IL 60555 | | | | Person | ore than one Re | porung | |
| (City) | (State) (| Zip) | Table I - Non-I | Derivative Securities Acc | quired, Disposed of, | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution D any (Month/Day. | ate, if Transactic | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |

Code V Amount

408

F

(D)

D

Price

37.79

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

(Instr. 3 and 4)

4,796.8676

D

I

D

23,416

3,180

By 401(k)

Plan

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 37.2 | 12/19/2011 | | A | 13,400 | (2) | 12/19/2018 | Common Stock | 13,400 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COCHRAN PHYLLIS E 4201 WINFIELD ROAD WARRENVILLE, IL 60555

President, Parts Group Subsid

Signatures

Curt A. Kramer, Attorney in fact

fact 12/20/2011

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Premium Share Units were acquired under Navistar's Executive Stock Ownership Program. Each Premium Share Unit represents one share of Navistar Common Stock.
- (2) The Option becomes exercisable as to 4,467 shares on 12/19/2012 and as to 4,466 shares on 12/19/2013 and as to 4,467 shares on 12/19/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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