ALLEN JOHN J

Form 4

February 21, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

obligations

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* ALLEN JOHN J

2. Issuer Name and Ticker or Trading

Symbol

NAVISTAR INTERNATIONAL

CORP [NAV]

3. Date of Earliest Transaction

(Month/Day/Year) 02/19/2013

C/O NAVISTAR **INTERNATIONAL** CORPORATION, 2701 NAVISTAR **DRIVE** 

(Street)

(First)

(Middle)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Issuer

below)

Director

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LISLE, IL 60532

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Securities Beneficially (D) or Owned Following Reported Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

10% Owner

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Officer (give title \_\_X\_ Other (specify

Pres. NA Truck/Parts Ops Subs

below)

3235-0287

January 31,

2005

0.5

or (Instr. 3 and 4) Code Amount (D) Price

(A)

Common 02/19/2013 A 36,914 \$0 64,946 D A Stock

Deferred

**Share Units** 1,821 D (1)

Premium **Share Units** 5,094 D

(2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Underlying S (Instr. 3 and	Securities
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 27.24	02/19/2013		A	71,957	(3)	02/19/2020	Common Stock	71,957

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ALLEN JOHN J C/O NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532

Pres. NA Truck/Parts Ops Subs

#### **Signatures**

Curt A. Kramer, Attorney

in fact 02/21/2013

\*\*Signature of Reporting Person D

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The deferred share units were acquired under Navistar's Executive Stock Ownership Program. Each deferred share unit represents one share of Navistar common stock.
- (2) The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.

Reporting Owners 2

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The option becomes exercisable, if at all, as to 80% of the shares on 2/19/2016, if the average daily closing price of Navistar's common stock on any established stock exchange or national market system during any 20 consecutive calendar day period exceeds \$32, as to 90% if the average daily closing price exceeds \$35, and as to 100% if the average daily closing price exceeds \$38.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.