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NAVISTAR INTERNATIONAL CORP

Form 4

September 09, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Elliott Greg W

(Last)

2. Issuer Name and Ticker or Trading

Symbol

NAVISTAR INTERNATIONAL

CORP [NAV]

3. Date of Earliest Transaction

(Month/Day/Year) 09/05/2014

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Officer (give title __X_ Other (specify

SVP HR & Admin. Operating Sub

below)

C/O NAVISTAR

INTERNATIONAL CORPORATION, 2701 NAVISTAR **DRIVE**

(First)

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

LISLE, IL 60532

(City)

(Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | 141 | Tell-Non-Delivative Securities Acquired, Disposed of, or Beneficiary Owned | | | | | | | |
|--------------------------------------|---|---|--|--------|-----|--|--|---|--------------------------|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 09/05/2014 | | M | 10,400 | A | \$ 26.15 | 27,501 | D | | |
| Common Stock | 09/05/2014 | | S | 9,215 | D | \$ 39.4853 (1) | 18,286 | D | | |
| Common Stock | | | | | | | 245.6168 | I | By Navistar 401(k) | |

Plan

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Premium

Share 2,758 D

Units (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 3 (|
|---|---|--------------------------------------|---|--|--|--|--------------------|---|-------------------------------------|-----|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 26.15 | 09/05/2014 | | M | 10,400 | <u>(3)</u> | 10/18/2015 | Common Stock | 10,400 | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Elliott Greg W C/O NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532

SVP HR & Admin. Operating

Sub

Signatures

Curt A. Kramer, Attorney

in fact 09/09/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.45 to \$39.5101, inclusive. The reporting person undertakes to provide Navistar International Corporation ("Navistar"), any security holder of Navistar, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.
- (3) The Option became exercisable as to 3,467 shares on 10/18/2006; as to 3,466 shares on 10/18/2007; and as to 3,467 shares on 10/18/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.