

Edgar Filing: SANDERSON FARMS INC - Form 5/A

SANDERSON FARMS INC  
Form 5/A  
January 21, 2003

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OMB APPROVAL  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 5/A

Amendment to the Form 5 that was filed on January 17, 2003.  
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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

☒ Form 3 Holdings Reported

☒ Form 4 Transactions Reported

1. Name and Address of Reporting Person\*

Grimes	James	A.
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(Last)	(First)	(Middle)

P. O. Box 988

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(Street)

Laurel	Mississippi	39441-0988
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(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Sanderson Farms, Inc. (SAFM)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

October 31, 2002

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5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

☐ Director

☐ 10% Owner

☒ Officer (give title below)

☐ Other (specify below)

Chief Accounting Officer/Secretary

7. Individual or Joint/Group Filing  
(check applicable line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
				Amount	(A) or (D)	Price
Common Stock	3/05/02		M4	7,500	A	\$10.875
Common Stock	3/05/02		S4	7,500	D	\$24.65
Common Stock	6/24/02		M4	5,000	A	\$15.00
Common Stock	6/24/02		S4	5,000	D	\$24.50
Common Stock			3 (1)			
Common Stock			3			

(1) There were 2,391 shares allocated to the reporting person's ESOP account

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when he became an executive officer of Sanderson Farms, Inc. on November 1, 1993.

(2) Reflects total amount of shares allocated to reporting person's ESOP account as of October 31, 2002.

\* If the form is filed by more than one reporting person, see instruction 4(b) (v) .

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (mm/dd/ yy)	3A. Deemed Execut- ion Date if any (mm/dd/ yy)	4. Trans- action Code (Instr. 8) -----	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Exer- cisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Employee Stock Options (Right to Buy)		\$10.875	7/25/96	A	7,500	7/25/97* 7/24/02	Common Stock 7,500
Employee Stock Options (Right to Buy)		\$15.00	7/24/97	A	5,000	7/24/98* 7/23/07	Common Stock 5,000
Employee Stock Options (Right to Buy)		\$10.875	3/05/02	M4	7,500	7/25/97* 7/24/02	Common Stock 7,500
Employee Stock Options (Right to Buy)		\$15.00	6/24/02	M4	5,000	7/24/98* 7/23/07	Common Stock 5,000
Employee							

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Stock Options (Right to Buy)	\$13.00	4/23/98	A	5,000	4/23/99*	4/22/08	Common Stock	5,000
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Employee Stock Options (Right to Buy)	\$ 7.46875	4/24/00	A	5,000	4/24/01*	4/23/10	Common Stock	5,000
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Employee Stock Options (Right to Buy)	\$ 7.188	5/01/00	A	7,500	5/01/01*	4/30/10	Stock	7,500
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Employee Stock Options (Right to Buy)	* 11.10	4/27/01	A	7,500	4/27/02*	4/26/11	Common Stock	7,500
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Employee Stock Options (Right to Buy)	* 18.55	7/25/02	A	7,500	7/25/03*	7/24/12	Common Stock	7,500
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### Explanation of Responses:

\*25% of the options vest on the date indicated, and an additional 25% vest each year thereafter until fully vested.

/s/James A. Grimes

January 17, 2003

\*\*Signature of Reporting Person

Date \_\_\_\_\_

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal  
 Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.