Edgar Filing: EVANS BANCORP INC - Form 5

EVANS BANCORP INC Form 5 February 11, 2016 FORM 5

1(b).

(Last)

OMB APPROVAL OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Connerton John B Symbol EVANS BANCORP INC [EVBN] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (First) (Middle) (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify 12/31/2015 below) below) ONE GRIMSBY DRIVE Treasurer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

HAMBURG, NYÂ 14075

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

| (City) | (State) (2 | Zip) Table | e I - Non-Deri | vative Sec | uritie | s Acqui | red, Disposed o | f, or Beneficial | ly Owned |
|--|---|---|---|--|--|---------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securit Acquired Disposed (Instr. 3, Amount | l (A) o l of (D 4 and (A) or |) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock (\$0.50 par value) | Â | Â | Â | Â | Â | Â | 3,144.43 <u>(1)</u> (2) | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 2270

(9-02)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. of D S¢ Eı Is Fi (I |
|---|---|---|---|---|---|---------------------|--------------------|-------|--|---|---|
| | | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-----------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Connerton John B ONE GRIMSBY DRIVE HAMBURG, NY 14075 | Â | Â | Treasurer | Â | | |

Signatures

Michelle A. Baumgarden, Attorney in Fact for John B. Connerton

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This number includes shares acquired under the Evans Bancorp, Inc. Dividend Reinvestment Plan as follows: 5.64 shares on April 7, (1) 2015 and 7.08 shares on October 6, 2015.
- This number reflects shares acquired under the Evans Bancorp, Inc. Employee Stock Purchase Plan as follows: 118 shares on December (2) 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

| | | Exercisable | Date | Title | Nu of |
|----|-----|-------------|------|-------|----------|
| A) | (D) | | | | Sha |
| | | | | | |

02/11/2016

Date