Hugo Johannes P Form 4 February 28, 2019

if no longer

subject to

Section 16.

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

VAN BUREN, AR 72956

1(b).

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Hugo Johannes P Issuer Symbol USA TRUCK INC [USAK]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

3200 INDUSTRIAL PARK ROAD 02/26/2019

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

SVP - Trucking Operations

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 20,664 02/26/2019 A A \$0 50,811 D (1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Hugo Johannes P - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tion S	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy common stock)	\$ 17.75	02/26/2019		A		13,129		<u>(2)</u>	02/26/2029	Common Stock	13,129

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hugo Johannes P 3200 INDUSTRIAL PARK ROAD

**SVP** - Trucking Operations

VAN BUREN, AR 72956

### **Signatures**

/s/ Zachary B. King, attorney-in-fact pursuant to POA previously filed 02/28/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents an award of restricted stock to the reporting person under the USA Truck, Inc. 2014 Omnibus Incentive Plan, as amended. Of the reported restricted stock, (i) 12,145 shares are subject to time-based vesting in four equal annual installments beginning on the first

- (1) anniversary of the grant date and (ii) 8,519 shares are subject to performance-based vesting at the conclusion of a three-year performance period ending December 31, 2021. Vesting of the restricted shares is subject to continued employment and certain vesting, forfeiture, termination provisions.
- Represents stock option award under the USA Truck, Inc., Omnibus Incentive Plan, as amended, that will vest in four annual increments beginning on the first anniversary of the grant date, and is subject to continued employment and certain vesting, forfeiture, and termination provisions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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