

Edgar Filing: APPLIED BIOMETRICS INC - Form SC 13G/A

APPLIED BIOMETRICS INC

Form SC 13G/A

February 13, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 3) (1)

APPLIED BIOMETRICS, INC.

(Name of Issuer)

COMMON STOCK, \$.01 PAR VALUE

(Title of Class of Securities)

03814L 10 3

(CUSIP Number)

DECEMBER 31, 2000

(Date of Event Which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

☐ Rule 13d-1(b)

☒ Rule 13d-1(c)

☐ Rule 13d-1(d)

(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the NOTES).

CUSIP NO. 03814L 10 3

13G

PAGE 2 OF 5 PAGES

1. NAMES OF REPORTING PERSONS

Edgar Filing: APPLIED BIOMETRICS INC - Form SC 13G/A

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Aaron Boxer Revocable Trust (SSN# 395-38-2219)

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐

(b) ☐

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

U.S.A.

5. SOLE VOTING POWER

NUMBER OF 609,333(2)

SHARES

6. SHARED VOTING POWER

BENEFICIALLY 0

OWNED BY EACH

7. SOLE DISPOSITIVE POWER

REPORTING PERSON 609,333(2)

WITH

8. SHARED DISPOSITIVE POWER

0

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

609,333(2)

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

☐

(2) Includes 73,000 Units (representing 73,000 shares and warrants to purchase 73,000 shares); includes 90,000 shares that are securing the obligations of a demand promissory note. Includes 373,333 shares previously reported as being held by Aaron Boxer, individually.

CUSIP NO. 03814L 10 3

13G

PAGE 3 OF 5 PAGES

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

10.2%

12. TYPE OF REPORTING PERSON*

OO

ITEM 1(a). NAME OF ISSUER:

Applied Biometrics, Inc.

Edgar Filing: APPLIED BIOMETRICS INC - Form SC 13G/A

ITEM 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

P.O. Box 3170
Burnsville, Minnesota 55337

ITEM 2(a). NAME OF PERSON FILING:

See Item 1 on cover page

ITEM 2(b). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE:

7287 Sidonia Court
Boca Raton, FL 33433

ITEM 2(c). CITIZENSHIP:

See Item 4 on cover page

ITEM 2(d). TITLE OF CLASS OF SECURITIES:

Common Stock, \$.01 par value

ITEM 2(e). CUSIP NUMBER:

See cover page

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULES 13d-1(b), OR 13d-2(b), CHECK WHETHER THE PERSON IS A:

- (a) ☐ Broker or dealer registered under Section 15 of the Act,
- (b) ☐ Bank as defined in Section 3(a)(6) of the Act,
- (c) ☐ Insurance Company as defined in Section 3(a)(19) of the Act,
- (d) ☐ Investment Company registered under Section 8 of the Investment Company Act,
- (e) ☐ Investment Adviser registered under Section 203 of the Investment Advisers Act of 1940,
- (f) ☐ Employee Benefit Plan, Pension Fund which is subject to the provisions of the Employee Retirement Income Security Act of 1974 or Endowment Fund; SEE 13d-1(b)(1)(ii)(F),

CUSIP NO. 03814L 10 3

13G

PAGE 4 OF 5 PAGES

- (g) ☐ Parent Holding Company, in accordance with Rule 13d-1(b)(ii)(G); SEE Item 7,
- (h) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(H).

ITEM 4. OWNERSHIP.

If the percent of the class owned, as of December 31 of the year covered by the statement, or as of the last day of any month described in Rule 13d-1(b)(2), if applicable, exceeds five percent, provide the following information as of

Edgar Filing: APPLIED BIOMETRICS INC - Form SC 13G/A

that date and identify those shares which there is a right to acquire.

(a) Amount beneficially owned:

See Item 9 on cover page

(b) Percent of class:

See Item 11 on cover page

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote: See Item 5 on cover page

(ii) Shared power to vote or to direct the vote: See Item 6 on cover page

(iii) Sole power to dispose or to direct the disposition of: See Item 7 on cover page

(iv) Shared power to dispose or to direct the disposition of: See Item 8 on cover page

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable

ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable

CUSIP NO. 03814L 10 3

13G

PAGE 5 OF 5 PAGES

ITEM 10. CERTIFICATION.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired

Edgar Filing: APPLIED BIOMETRICS INC - Form SC 13G/A

and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2001

AARON BOXER REVOCABLE TRUST

By: /s/ Aaron Boxer

Aaron Boxer, Trustee

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative, other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, PROVIDED, HOWEVER, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name of any title of each person who signs the statement shall be typed or printed beneath his signature.

NOTE: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See ss. 240.13d-7(b) for other parties for whom copies are to be sent.

ATTENTION: Intentional misstatements or omissions of fact constitute federal criminal violations (SEE 18 U.S.C. 1001).