CHICOS FAS INC Form 4

March 03, 2015 **FORM 4** 

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

Expires:

3235-0287 January 31,

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * KLEEBERGER KENT A |                      |    | 2. Issuer Name and Ticker or Trading<br>Symbol<br>CHICOS FAS INC [CHS] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|----------------------|----|--|--|--|--|
| (Last)  | st) (First) (Middle) |    | 3. Date of Earliest Transaction  | (Check an applicable)  |  |  |
| 11215 METRO PARKWAY   |                      | ·Υ | (Month/Day/Year)<br>02/27/2015   | Director 10% Owner _X Officer (give title Other (specify below) EVP- COO                             |  |  |
| (Street)  |                      |    | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check  |  |  |
| FT. MYERS, FL 33966   |                      |    | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative                                 | Secui   | rities Acquir        | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|---------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>corr Dispos<br>(Instr. 3, 4) | ed of ( |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 02/27/2015                              |   | M                                      | 35,000                                     | A       | \$ 10.49             | 271,632  | D  |   |
| Common<br>Stock                      | 02/27/2015                              |   | M                                      | 20,000                                     | A       | \$ 7.42              | 291,632  | D  |   |
| Common<br>Stock                      | 02/27/2015                              |   | S                                      | 55,000                                     | D       | \$<br>18.0922<br>(1) | 236,632  | D  |   |
| Common<br>Stock                      | 03/01/2015                              |   | F(2)                                   | 3,093                                      | D       | \$ 18.23             | 233,539  | D  |   |
| Common<br>Stock                      | 03/02/2015                              |   | M                                      | 20,000                                     | A       | \$ 13.78             | 253,539  | D  |   |

### Edgar Filing: CHICOS FAS INC - Form 4

| Common<br>Stock | 03/02/2015 | M | 30,000 | A | \$ 13.69             | 283,539 | D |
|-----------------|------------|---|--------|---|----------------------|---------|---|
| Common<br>Stock | 03/02/2015 | S | 50,000 | D | \$<br>17.9878<br>(3) | 233,539 | D |
| Common<br>Stock | 03/02/2015 | S | 7,200  | D | \$ 18.175<br>(4)     | 226,339 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Options                        | \$ 10.49  | 02/27/2015                           |   | M                                      | 35,000  | <u>(5)</u>   | 12/07/2017         | Common<br>Stock   | 35,000                              |
| Employee<br>Stock<br>Options                        | \$ 7.42   | 02/27/2015                           |   | M                                      | 20,000  | <u>(6)</u>   | 03/07/2018         | Common<br>Stock   | 20,000                              |
| Employee<br>Stock<br>Options                        | \$ 13.78  | 03/02/2015                           |   | M                                      | 20,000  | <u>(7)</u>   | 02/25/2020         | Common<br>Stock   | 20,000                              |
| Employee<br>Stock<br>Options                        | \$ 13.69  | 03/02/2015                           |   | M                                      | 30,000  | (8)  | 02/24/2021         | Common<br>Stock   | 30,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |          |       |  |  |
|--------------------------------|---------------|-----------|----------|-------|--|--|
|                                | Director      | 10% Owner | Officer  | Other |  |  |
|                                |               |           | EVP- COO |       |  |  |

Reporting Owners 2

KLEEBERGER KENT A 11215 METRO PARKWAY FT. MYERS, FL 33966

### **Signatures**

David M. Oliver, Attorney in Fact

03/03/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$18.09 to \$18.12. The price reported above reflects the weighted (1) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Transaction involving a disposition to the Company of equity securities to satisfy tax withholding obligations in connection with the vesting of restricted stock.
- This transaction was executed in multiple trades at prices ranging from \$17.92 to \$18.11. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$18.1501 to \$18.20. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) Vests 1/3 each year beginning on 12/7/2008.
- (6) Vests 1/3 each year beginning on 3/7/2009.
- (7) Vests 1/3 each year beginning on 2/25/2011.
- (8) Vests 1/3 each year beginning on 2/24/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3