NN INC Form 4 March 20, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

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5. Relationship of Reporting Person(s) to MANZAGOL L JEFFREY Issuer Symbol NN INC [NNBR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify 207 MOCKINGBIRD LANE 03/20/2015 below) below) SVP/GM-Precision Bearings Comp (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting JOHNSON CITY, TN 37604 Person (Ctata)

(City)	(State)	Zip) Table	e I - Non-D	- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/20/2015		Code V P	Amount 1,500		Price \$ 23.56	(Instr. 3 and 4) 21,744	D		
Common Stock	11/06/2015		F	441	D	\$ 15.75 (1)	21,303	D		
Common Stock	04/30/2016		F	288	D	\$ 15.04 (1)	21,015	D		
Common Stock	03/16/2017		F	671	D	\$ 22.55 (1)	20,344	D		

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Common Stock	03/17/2017	F	125	D	\$ 24.2 (1)	20,219	D
Common Stock	03/17/2017	A(2)	3,836	A	\$ 0	24,055	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Rights	<u>(3)</u>	03/17/2017		A	3,362	<u>(4)</u>	<u>(4)</u>	Common Stock	3,362

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

MANZAGOL L JEFFREY 207 MOCKINGBIRD LANE SVP/GM-Precision Bearings Comp JOHNSON CITY, TN 37604

## **Signatures**

/s/ Matthew S. Heiter by Power of 03/20/2017 Attorney

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the amount of shares delivered to satisfy applicable tax withholding obligations in connection with the vesting of shares of restricted stock.

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- (2) Shares are restricted stock and vest in three equal annual installments beginning on March 17, 2018.
- (3) Each performance right represents a contingent right to receive one share of common stock of NN, Inc. (the "Issuer").
  - The vesting of the performance rights is based on the relative total shareholder return ("TSR") of the Issuer, as compared to the TSR of the S&P SmallCap 600 Index over the period beginning on January 1, 2017 and ending December 31, 2019. The performance rights will
- vest on December 31, 2019 subject to the reporting person's continuous employment through December 31, 2019 and subject to certain conditions as set forth in the Issuer's 2016 Omnibus Incentive Plan. Performance rights granted represent the right to receive the target shares, and actual performance rights earned may be between 50% and 150% of the target shares. Performance rights that do not vest on December 31, 2019 expire automatically.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.