von Prondzynski Heino Form 4 September 06, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading von Prondzynski Heino Issuer Symbol Quotient Ltd [QTNT] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) \_X\_\_ Director 10% Owner Officer (give title Other (specify C/O QUOTIENT LIMITED, 28 09/04/2017 below) **ESPLANADE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ST HELIER, Y9 JE2 3QA

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Ordinary Shares	09/04/2017		M	12,500	A	<u>(1)</u>	43,725	D	
Ordinary Shares	09/04/2017		M	4,933	A	<u>(1)</u>	48,658	D	
Ordinary Shares	09/04/2017		M	7,613	A	<u>(1)</u>	56,271	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Restricted Stock Units	<u>(1)</u>	09/04/2017		M		12,500	(2)	(2)	Ordinary Shares	12,5
Restricted Stock Units	<u>(1)</u>	09/04/2017		M		4,933	(3)	(3)	Ordinary Shares	4,9
Ordinary Share Option (Right to Buy)	\$ 11.62						<u>(4)</u>	10/31/2025	Ordinary Shares	4,3
Restricted Stock Units	<u>(1)</u>						<u>(5)</u>	<u>(5)</u>	Ordinary Shares	1,2
Restricted Stock Units	<u>(1)</u>	09/04/2017		M		7,613	<u>(6)</u>	<u>(6)</u>	Ordinary Shares	7,6
Ordinary Share Option (Right to Buy)	\$ 5.73						<u>(7)</u>	10/31/2026	Ordinary Shares	8,7
Restricted Stock Units	(1)						<u>(8)</u>	<u>(8)</u>	Ordinary Shares	5,2
Restricted Stock Units	(1)	09/04/2017		A	25,268		<u>(9)</u>	<u>(9)</u>	Ordinary Shares	25,2

# **Reporting Owners**

	Relationships
Reporting Owner Name / Address	•

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Director 10% Owner Officer Other

von Prondzynski Heino C/O QUOTIENT LIMITED 28 ESPLANADE ST HELIER, Y9 JE2 3QA



## **Signatures**

/s/ Heino von Prondzynski

09/06/2017

\*\*Signature of Reporting
Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units convert into ordinary shares on a one-for-one basis.
- (2) On September 15, 2014, the reporting person was granted 50,000 restricted stock units, of which 37,500 restricted stock units have vested and been exercised. The remaining 12,500 restricted stock units will vest on September 4, 2018.
- (3) On September 4, 2015, the reporting person was granted 9,867 restricted stock units, all of which have vested and been exercised.
- (4) 1,434 options vested on October 31, 2016 and remain exercisable, 1,434 options will vest on October 31, 2017, 1,435 options will vest on October 31, 2018.
- On October 31, 2015, the reporting person was granted 2,582 restricted stock units, of which 1,291 restricted stock units have vested and been exercised. The remaining 1,291 restricted stock units will vest on October 31, 2017.
- On September 4, 2016, the reporting person was granted 15,226 restricted stock units, of which 7,613 restricted stock units have vested and been exercised. The remaining 7,613 restricted stock units will vest on September 4, 2018.
- (7) The options vest and become exercisable in three equal annual installments beginning October 31, 2017.
- (8) On October 31, 2016, the reporting person was granted 5,236 restricted stock units, vesting in two equal installments on October 31, 2017 and October 31, 2018.
- On September 4, 2017, the reporting person was granted 25,268 restricted stock units, vesting in two equal installments on September 4, 2018 and September 4, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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