OEP III Co-Investors, L.P.

Form 3

November 06, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting Person \*

JPMORGAN CHASE & CO

(Last) (First) (Middle)

270 PARK AVENUE, 10TH **FLOOR** 

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

10/27/2017

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Sonus Networks, Inc. [SONS]

Director Officer

\_X\_\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

NEW YORK, NYÂ 10017

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Common Stock I See footnotes (1) (2) 49,940,222

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Direct (D) Security

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Date	Expiration	Amount or	or Indirect
Exercisable	Date	Number of	(I)
		Shares	(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address		Relationships			
<b>F</b>	Director	10% Owner	Officer	Other	
JPMORGAN CHASE & CO 270 PARK AVENUE, 10TH FLOOR NEW YORK, NY 10017	Â	ÂX	Â	Â	
OEP III Co-Investors, L.P. 270 PARK AVENUE, 10TH FLOOR, NEW YORK, NY 10017	Â	ÂX	Â	Â	
OEP II Partners Co-Invest, L.P. 510 MADISON AVE., 19TH FLOOR, NEW YORK, NY 10022	Â	ÂX	Â	Â	
Heritage PE (OEP) III, L.P. 270 PARK AVENUE, 10TH FLOOR, NEW YORK, NY 10017	Â	ÂX	Â	Â	

# **Signatures**

JPMorgan Chase & Co. By: /s/ Michael T. Lees, Executive Director		
**Signature of Reporting Person	Date	
OEP III Co-Investors, L.P. By: OEP Co-Investors Management III, Ltd., as General Partner, By: /s/ Richard W. Smith, President		
**Signature of Reporting Person	Date	
OEP II Partners Co-Invest, L.P. By: OEP II Partners Co-Invest GP, Ltd., as General Partner, By: /s/ Richard M. Cashin, Director		
**Signature of Reporting Person	Date	
Heritage PE (OEP) III, L.P. By: OEP General Partner III, L.P., as General Partner, By: JPMC Heritage Parent LLC, as General Partner, By: /s/ Richard W. Smith, President		
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - OEP III Co-Investors and OEP II Partners Co-Invest are the record holders of 1,142,007 and 1,749,504 shares of Common Stock, respectively. Heritage III is the record holder of 47,048,711 shares of Common Stock. JPMorgan Chase & Co. is a publicly traded entity
- (1) listed on the New York Stock Exchange, which is the sole member of JP Morgan Chase Holdings LLC, which is the sole member of Banc One Financial LLC, which is the sole member of OEP Holdings LLC, which is the sole member of JPMC Heritage Parent LLC, which is the general partner of OEP General Partner III L.P., which is the general partner of Heritage PE (OEP) III, L.P.
- (2) As such, each of OEP Holdings LLC, JPMC Heritage Parent LLC and OEP General Partner III L.P. may be deemed to have or share beneficial ownership of the Common Stock held directly by Heritage PE (OEP) III, L.P. OEP III Co-Investors, L.P. and OEP II Partners Co-Invest, L.P. are subject to certain contractual agreements and statutory obligations to acquire and vote shares side-by-side with Heritage PE (OEP) III, L.P. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the

Reporting Owners 2

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extent of its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.