Louis Berkman Investment CO

Form 4

February 01, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SECURITIES

OMB Number:

Form filed by More than One Reporting

3235-0287

0.5

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires:

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obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Louis Berkman Investment CO | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|---|----------|-----------|--|---|--|--|--|
| | | | AMPCO PITTSBURGH CORP [AP] | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | |
| 600 GRANT STREET, SUITE 3230 | | UITE 3230 | (Month/Day/Year) 01/31/2018 | Director Other (specify below) | | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person | | | |

PITTSBURGH, PA 15219-2704

| Table I - Non-Derivative | Securities Acquired | d. Disposed of, or | · Beneficially Owned |
|--------------------------|---------------------|--------------------|----------------------|

Person

| (City) | (State) (Z | ip) Table | I - Non-De | rivative S | ecurit | ies Acqui | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|--------------------------------------|---|--|------------|------------------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | (Instr. 3, | ispose 4 and (A) or | d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | Code V $S_{\underline{(1)}}$ | Amount 300 | (D) | Price \$ 13.35 | 1,435,035 | D | |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S(1) | 100 | D | \$ 13.4 | 1,434,935 | D | |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S <u>(1)</u> | 200 | D | \$ 13.45 | 1,434,735 | D | |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S(1) | 310 | D | \$ 13.55 | 1,434,425 | D | |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S <u>(1)</u> | 10 | D | \$ 13.6 | 1,434,415 | D | |
| | 01/31/2018 | 01/31/2018 | S(1) | 54 | D | | 1,434,361 | D | |

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| COMMON STOCK | | | | | | \$ 13.65 | |
|-----------------|------------|------------|--------------|-----|---|-----------------------|---|
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S <u>(1)</u> | 50 | D | \$ 13.75 1,434,311 | D |
| COMMON STOCK | 01/31/2018 | 01/31/2018 | S(1) | 202 | D | \$ 1,434,109 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transact | 5. orNumber | 6. Date Exerc Expiration D | | 7. Titl Amou | | 8. Price of Derivative | 9. Nu Deriv |
|---------------------------|---------------|--------------------------------------|-------------------------------|----------------|----------------|-------------------------------|------------|-----------------|----------|------------------------|----------------|
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securi | ities | (Instr. 5) | Bene |
| | Derivative | | | | Securities | 3 | | (Instr. | 3 and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | m: .1 | or | | |
| | | | | | | Exercisable | Date | Title | Number | | |
| | | | | C 1 1 | (A) (D) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | | | |
|--|----------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Louis Berkman Investment CO 600 GRANT STREET, SUITE 3230 PITTSBURGH, PA 15219-2704 | | X | | |

Signatures

/s/Jennifer L. Gloff,

Secretary 02/01/2018

**Signature of Reporting Person Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The transactions reported in this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on
- (1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification objectives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.