Edgar Filing: BURWELL THOMAS C. Jr. - Form 4

BURWELL Form 4	THOMAS C. J	ſr.										
March 16, 20)18											
FORM	1 4									-	PPROVAL	
	UNITE	D STATE:				ND EX(D.C. 205		NGE (COMMISSION	OMB Number:	3235-0287	
	Check this box if no longer									Expires:	January 31, 2005	
subject to STATEMENT OF CHANGES IN B Section 16. SECURI					IN BENEFICIAL OWNERSHIP OF URITIES					burden hou	Estimated average burden hours per	
Form 4 o Form 5		urcuant to	Section 16	(a) of th	he	Securiti	es Fr	vehand	ge Act of 1934,	response 0.		
obligation may cont <i>See</i> Instru 1(b).	ns Section 1	7(a) of the		ility Ho	ldi	ng Com	ipany	Act o	f 1935 or Sectio	n		
(Print or Type F	Responses)											
BURWELL THOMAS C. Jr. Symbo			Symbol	2. Issuer Name and Ticker or Trading /mbol N INC [NNBR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check				к ап аррпсави	e)				
207 MOCK	INGBIRD LAI	NE	(Month/Da 03/14/20	-					Director X Officer (give below)		6 Owner er (specify	
			f Amendment, Date Original d(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
JOHNSON	CITY, TN 376	04							Form filed by M Person			
(City)	(State)	(Zip)	Table	I - Non-	De	rivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	Gecurity (Month/Day/Year) Execution Date, if Instr. 3) any		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)))	SecuritiesFBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	03/14/2018			A <u>(1)</u>		4,081	A	\$ 0	37,462	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Rights	(2)	03/14/2018		А	4,081	<u>(3)</u>	(3)	Common Stock	4,081	

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Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
BURWELL THOMAS C. Jr. 207 MOCKINGBIRD LANE JOHNSON CITY, TN 37604			See Remarks					
Signatures								
/s/ Matthew S. Heiter by Power Attorney	r of	03/	/16/2018					
**Signature of Reporting Person			Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are restricted stock and vest in three equal annual installments beginning on March 14, 2019.
- (2) Each performance right represents a contingent right to receive one share of common stock of NN, Inc. (the "Issuer").

The vesting of the performance rights is based on the relative total shareholder return ("TSR") of the Issuer, as compared to the TSR of the S&P SmallCap 600 Index over the period beginning on January 1, 2018 and ending December 31, 2020. The performance rights will

(3) vest on December 31, 2020 subject to the reporting person's continuous employment through December 31, 2020 and subject to certain conditions as set forth in the Issuer's 2016 Omnibus Incentive Plan. Performance rights granted represent the right to receive the target shares, and actual performance rights earned may be between 50% and 150% of the target shares. Performance rights that do not vest on December 31, 2020 expire automatically.

Remarks:

SVP and Chief Financial Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.