JOHANSSON LEIF Form 4

FORM 4

May 10, 2018

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

JOHANSSON LEIF

Symbol

(Check all applicable)

(Last)

(Middle)

**AUTOLIV INC [ALV]** 3. Date of Earliest Transaction

X\_ Director 10% Owner

C/O AUTOLIV.

(Month/Day/Year) 05/08/2018

Officer (give title Other (specify

INC., KLARABERGSVIADUKTEN

(Street)

(First)

70, SECTION B7

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

STOCKHOLM, V7 SE-111 64

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of

Security (Instr. 3) (Month/Day/Year) Execution Date, if (Month/Day/Year) TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned **Following** 

Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or

(Instr. 3 and 4) Price

Code V Amount

(D)

D

Ι

Common Stock

05/08/2018

 $M^{(1)}$ 1,178 \$0 Α 2,684

> See Footnote

Common Stock

11,400

(5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

#### Edgar Filing: JOHANSSON LEIF - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(2)	05/08/2018		M <u>(1)</u>		1,178	05/08/2018	05/08/2018	Common Stock	1,178
Restricted Stock Unit	(2)	05/08/2018		A(3)	861		<u>(4)</u>	<u>(4)</u>	Common Stock	861

## **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
JOHANSSON LEIF C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM, V7 SE-111 64	X					

## **Signatures**

/s/ Zachariah Miller, as attorney-in-fact for Leif
Johansson

05/10/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the vesting of restricted stock units, including restricted stock units acquired through dividend equivalents, granted on May 9, 2017 as payment of one-half of the 2017-2018 annual retainer for director service.
- (2) Each restricted stock unit represents a contingent right to receive one share of ALV common stock.
- (3) Reflects a grant of restricted stock units as payment of one-half of the 2018-2019 annual retainer for director service.
- (4) The restricted stock units vest and convert to shares in one installment on the earlier of (a) the date of Autoliv's 2019 annual stockholder meeting, or (b) the one-year anniversary of the grant date.
- (5) Shares of Autoliv common stock are held in a pension plan account controlled by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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