Voya Financial, Inc. Form 4 August 08, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

SECURITIES

Estimated average burden hours per 0.5 response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker Voya Financial, Inc. Symbol	or Trading 5. Relationship of Reporting Person(s) to Issuer
KAYNE ANDERSON MIDSTREAM/ENERO INC. [KMF]	
(Last) (First) (Middle) 3. Date of Earliest Transacti (Month/Day/Year)	on Director _X_ 10% Owner Officer (give titleOther (specify below)
230 PARK AVENUE 01/05/2016 (Street) 4. If Amendment, Date Orig	inal 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting

Person

NEW YORK, NY 10169

(City)

(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Series B Mandatory Redeemable Preferred Shares	01/05/2016		J <u>(1)</u>	66,667	D	\$ 25.61	133,333	I (2)	By Subsidiary
Series B Mandatory Redeemable Preferred Shares	03/03/2018		J <u>(3)</u>	133,333	D	\$ 25	0	I (2)	By Subsidiary
	02/29/2016		J(4)	50,000	D		350,000	I (2)	

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Series C Mandatory Redeemable Preferred Shares			\$ 25.75			By Subsidiary
Series D Mandatory Redeemable 08/06/2018 Preferred Shares	J <u>(5)</u>	800,000 A (5)	\$ 25	800,000	I (2)	By Subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
r	Director	10% Owner	Officer	Other					
Voya Financial, Inc. 230 PARK AVENUE NEW YORK, NY 10169		X							
Voya Holdings, Inc. ONE ORANGE WAY WINDSOR, CT 06095		X							
VOYA RETIREMENT INSURANCE & ANNUITY CO ONE ORANGE WAY		X							

Reporting Owners 2

WINDSOR, CT 06095

VOYA INVESTMENT MANAGEMENT LLC ONE ORANGE WAY WINDSOR, CT 06095

X

Signatures

/s/ Jean Weng, SVP & Corporate Secretary, Voya Financial, Inc. 08/08/2018

**Signature of Reporting Person Date

/s/ Jean Weng, SVP & Assistant Secretary, Voya Holdings Inc. 08/08/2018

**Signature of Reporting Person Date

/s/ Jean Weng, SVP & Assistant Secretary, Voya Retirement Insurance and Annuity
Company

**Signature of Reporting Person Date

08/08/2018

/s/ Joshua Winchester, VP, Voya Investment Management, LLC 08/08/2018

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 5, 2016, the Issuer redeemed \$10,000,000 of its Series B Mandatory Redeemable Preferred Shares.
 - Most of the securities reported herein are directly beneficially owned by Voya Retirement Insurance and Annuity Company, Security Life of Denver Insurance Company, ReliaStar Life Insurance Company and ReliaStar Life Insurance Company of New York, each a direct or indirect subsidiary of Voya Financial, Inc. and/or Voya Holdings Inc. With respect to the remainder of the securities reported herein,
- (2) Voya Investment Management, LLC, a subsidiary of Voya Holdings Inc., in its capacity as investment adviser to a third-party owner of such securities, has been delegated certain voting rights pursuant to an investment management contract with such third party, making Voya Investment Management, LLC a beneficial owner of such securities solely for regulatory reporting purposes. Voya Holdings Inc. is a direct subsidiary of Voya Financial, Inc. Voya Financial, Inc. is the indirect beneficial owner of the securities reported herein.
- (3) On March 3, 2018, the remaining Series B Mandatory Redeemable Preferred Shares of the Issuer matured.
- (4) On February 29, 2016, the Issuer redeemed \$5,000,000 of its Series C Mandatory Redeemable Preferred Shares.
 - The Securities reported herein were terminated and reissued in connection with the Merger of Kayne Anderson Total Return Fund, Inc.
- (5) (KYE) into KMF. on August 6, 2018. A separate Form 4 will be filed reporting the disposition of the securities terminated under that Issuer. These securities were formerly held as KYE Series C Mandatorily Redeemable Preferred Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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