## Edgar Filing: Nellis Anthony J - Form 4

Nellis Anthony J Form 4										
December 10, 20	18									
FORM 4	UNITED	STATES	SECUI	RITIES A	AND EX	CHANGE	E COMMISSIO		PPROVAL	
Charle this have				shington				Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Se						nge Act of 1934,	Estimated burden hou response	Estimated average burden hours per response 0.5		
may continue. <i>See</i> Instruction 1(b).				•	•	npany Act 1y Act of 1		on		
(Print or Type Respo	nses)									
1. Name and Address of Reporting Person <u>*</u> Nellis Anthony J			2. Issuer Name <b>and</b> Ticker or Trading Symbol AUTOLIV INC [ALV]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (	(Middle)	3. Date of Earliest Transaction			(Check all applicable)				
C/O AUTOLIV, INC., KLARABERGSVIADUKTEN 70, SECTION B7			(Month/Day/Year) 12/06/2018				Director 10% Owner X Officer (give title Other (specify below) below) See Remarks			
				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
STOCKHOLM,	V7 SE-111	64					Form filed by Person	More than One F	Reporting	
(City)	(State)	(Zip)	Tal	ole I - Non-	Derivative	e Securities A	Acquired, Disposed	of, or Beneficia	ally Owned	
	ansaction Date hth/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if	Code		(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on	n a separate line	e for each cla	ss of sec	urities bene	ficially ow	ned directly	or indirectly.			
					Perso inform requir	ons who res nation cont red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	Beneficially Owner securities)	d		

1. Title of<br/>Derivative2.3. Transaction Date3A. Deemed4.5. Number of<br/>TransactionDerivative6. Date Exercisable and Expiration7. Title and Amo<br/>Underlying Security1. Title of<br/>Derivative2.3. Transaction Date3A. Deemed4.5. Number of<br/>TransactionDerivative6. Date Exercisable and Expiration7. Title and Amo<br/>Underlying Security

### Edgar Filing: Nellis Anthony J - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year	)	(Instr. 3 and	4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	2.0988	02/19/2020(3)	02/19/2020(3)	Common Stock	2.
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	1.4482	02/19/2020(3)	02/19/2020(3)	Common Stock	1.4
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	3.0787	02/13/2021(5)	02/13/2021(5)	Common Stock	3.

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Nellis Anthony J C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM, V7 SE-111 64			See Remarks			
Signatures						
/s/ Brian Kelly as attorney-in-fact 12/10/2018						

**Explanation of Responses:** 

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents a contingent right to receive one share of ALV common stock.

Date

- (2) Dividend equivalent rights accrued in the form of additional RSUs. Per the award agreement, cash dividends with a record date on or after the grant date and paid on or before the vesting date yield additional RSUs subject to the same vesting schedule as the underlying RSUs.
- (3) The RSUs vest and convert to shares in one installment on the third anniversary of February 19, 2017.
- (4) Reflects performance shares that converted to RSUs in connection with the spin-off of the issuer's wholly-owned subsidiary Veoneer, Inc. on June 29, 2018.
- (5) The RSUs vest and convert to shares in one installment on the third anniversary of February 13, 2018.

#### **Remarks:**

Executive Vice President, Legal Affairs; General Counsel; Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Nellis Anthony J - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.