Edgar Filing: Backman Mats - Form 4

Backman Mats Form 4										
December 10, 2	2018									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								PPROVAL 3235-0287		
if no longer subject to Section 16. Form 4 or Form 5 obligations may continu	heck this box no longer hbject to ection 16. orm 4 or orm 5 bligations hay continue. ee Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires:January 31, 2005Estimated average burden hours per response0.5	
(Print or Type Resp	ponses)									
1. Name and Address of Reporting Person <u>*</u> Backman Mats			2. Issuer Name and Ticker or Trading Symbol AUTOLIV INC [ALV]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of Earliest Transac				Transactior	l	(Cho	eck all applicabl	e)		
C/O AUTOLIV, INC., KLARABERGSVIADUKTEN 70, SECTION B7			(Month/Day/Year) 12/06/2018				Director 10% Owner X Officer (give title Other (specify below) below) See Remarks			
(Street) 4. If Amendment, Da Filed(Month/Day/Year)				-	al	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
STOCKHOLM	A, V7 SE-111	64					Form filed by Person	More than One R	Reporting	
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned	
	Transaction Date lonth/Day/Year)	Execution I any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report	on a separate line	e for each cla	ss of sec	urities benet	ficially ow	ned directly	or indirectly.			
					inforn requi	nation con red to resp iys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	• Beneficially Owner securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and Expiration	7. Title and Am
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Date	Underlying Sec

Edgar Filing: Backman Mats - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	(Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title A N S
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	9.5372	02/19/2020 <u>(3)</u>	02/19/2020(3)	Common Stock
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	6.5807	02/19/2020(3)	02/19/2020(3)	Common Stock
Restricted Stock Unit	<u>(1)</u>	12/06/2018		A <u>(2)</u>	14.0068	02/13/2021(5)	02/13/2021(5)	Common Stock 1

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Backman Mats C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM, V7 SE-111 64			See Remarks			
Signatures						

/s/ Brian Kelly, 12/10/2018 attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit (RSU) represents a contingent right to receive one share of ALV common stock. (1)
- Dividend equivalent rights accrued in the form of additional RSUs. Per the award agreement, cash dividends with a record date on or after (2)the grant date and paid on or before the vesting date yield additional RSUs subject to the same vesting schedule as the underlying RSUs.
- (3) The RSUs vest and convert to shares in one installment on the third anniversary of February 19, 2017.
- Reflects performance shares that converted to RSUs in connection with the spin-off of the issuer's wholly-owned subsidiary Veoneer, Inc. (4) on June 29, 2018.
- (5) The RSUs vest and convert to shares in one installment on the third anniversary of February 13, 2018.

Remarks:

Chief Financial Officer and Executive Vice President, Finance

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.