Stueland Katherine Form 4 January 16, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per response...

5. Relationship of Reporting Person(s) to

Form filed by More than One Reporting

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| Stueland Katherine                              |         |          | Symbol Invitae Corp [NVTA]                       | Issuer  |
|---|---------|----------|--|---|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | (Check all applicable)  Director 10% Owner            |
| C/O INVITAE<br>CORPORATION, 1400 16TH<br>STREET |         | 16TH     | 01/15/2019                                       | X_ Officer (give title Other (specify below)          |
| (Street)  |         |          | 4. If Amendment, Date Original                   | 6. Individual or Joint/Group Filing(Check             |
|   |         |          | Filed(Month/Day/Year)                            | Applicable Line) X Form filed by One Reporting Person |

2. Issuer Name and Ticker or Trading

#### SAN FRANCISCO, CA 94103

(State)

(Zip)

(City)

| (,)              | ()                  | Tabl               | e I - Non-D | erivative  | Secur     | ities Acq   | uirea, Disposea o | t, or Beneficiall | ly Owned     |
|------------------|---------------------|--------------------|-------------|------------|-----------|-------------|-------------------|-------------------|--------------|
| 1.Title of       | 2. Transaction Date | 2A. Deemed         | 3.          | 4. Securi  | ties A    | cquired     | 5. Amount of      | 6. Ownership      | 7. Nature of |
| Security         | (Month/Day/Year)    | Execution Date, if | Transactio  | on(A) or D | ispose    | d of (D)    | Securities        | Form: Direct      | Indirect     |
| (Instr. 3)       |                     | any                | Code        | (Instr. 3, | 4 and     | 5)          | Beneficially      | (D) or            | Beneficial   |
|                  |                     | (Month/Day/Year)   | (Instr. 8)  |            |           |             | Owned             | Indirect (I)      | Ownership    |
|                  |                     |                    |             |            |           |             | Following         | (Instr. 4)        | (Instr. 4)   |
|                  |                     |                    |             |            | ( )       |             | Reported          |                   |              |
|                  |                     |                    |             |            | (A)       |             | Transaction(s)    |                   |              |
|                  |                     |                    | Code V      | Amount     | or<br>(D) | Price       | (Instr. 3 and 4)  |                   |              |
| Common Stock (1) | 01/15/2019          |                    | S           | 1,000      | D         | \$<br>13.59 | 188,370 (2)       | D                 |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Stueland Katherine - Form 4

| 1. Titl<br>Deriv<br>Secur<br>(Instr. | ative<br>ity | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | 5. tiorNumber of ) Derivativ Securities Acquired (A) or Disposed of (D) | s<br>I              | ate                | Amou<br>Under<br>Secur | le and<br>unt of<br>rlying<br>rities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|--------------------------------------|--------------|---|---|---|------------------------------------|---|---------------------|--------------------|------------------------|--|---|---|
|                                      |              |   |   |   | Code \                             | (Instr. 3,<br>4, and 5)   | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |   |   |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                        | Other |  |  |  |  |
| Stueland Katherine<br>C/O INVITAE CORPORATION<br>1400 16TH STREET<br>SAN FRANCISCO, CA 94103 |               |           | Chief<br>Commercial<br>Officer |       |  |  |  |  |

### **Signatures**

/s/ Katherine
Stueland

\*\*Signature of Reporting Person

O1/16/2019

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales of common stock were effected pursuant to Rule 10b5-1 sales plan adopted by the reporting person on September 14, 2018.
- (2) Includes an aggregate of 175,294 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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