Mogefors Svante Form 4 February 20, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \*

Mogefors Svante

(Last)

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

(Zip)

**AUTOLIV INC [ALV]** 

3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director X\_ Officer (give title below)

10% Owner Other (specify

02/15/2019

INC., KLARABERGSVIADUKTEN

(Street)

(State)

(First)

70, SECTION B7

(City)

C/O AUTOLIV.

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

See Remarks

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

#### STOCKHOLM, V7 SE-111 64

(City)	(State)	Tabl	le I - Non-L	<b>Derivative</b>	Secui	rities Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/15/2019		M	1,226	A	\$0	11,757	D	
Common Stock	02/15/2019		M	298	A	\$ 0	12,055	D	
Common Stock	02/20/2019		S(1)	1,524	D	\$ 80.69 (2)	10,531	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title an Underlyin (Instr. 3 an
							Date Exercisable	Expiration Date	Title
				Code V	(A)	(D)			
Restricted Stock Unit (3)	<u>(4)</u>	02/15/2019		M		1,226.6295 (5)	02/15/2019(6)	02/15/2019(6)	Commo
Restricted Stock Unit	<u>(4)</u>	02/15/2019		M		298.215 <u>(5)</u>	02/15/2019(7)	02/15/2019(7)	Commo
Restricted Stock Unit	<u>(4)</u>	02/18/2019		A	850		02/18/2022(6)	02/18/2022(6)	Commo

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Mogefors Svante C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7

See Remarks

## **Signatures**

/s/ Brian Kelly as attorney-in-fact

02/20/2019

\*\*Signature of Reporting Person

STOCKHOLM, V7 SE-111 64

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person on August 28, 2018.

The reporting person effected multiple same-way open market sale transactions on the same day at different prices through a trade order executed by a broker dealer. The broker aggregated all shares to be sold by all Autoliv, Inc. employees on such date and sold them in multiple blocks. The price in the table reflects the weighted average sale price of such block trades on such date. The potential range of prices for such transactions for the reporting person is \$80.52 to \$80.98. The reporting person hereby undertakes to provide upon request

multiple blocks. The price in the table reflects the weighted average sale price of such block trades on such date. The potential range of prices for such transactions for the reporting person is \$80.52 to \$80.98. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

**(3)** 

Reporting Owners 2

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Reflects performance shares that converted to restricted stock units (RSUs) in connection with the spin-off of the issuer's wholly-owned subsidiary Veoneer, Inc. on June 29, 2018.

- (4) Each RSU represents a contingent right to receive one share of ALV common stock.
- (5) Fractional RSUs are rounded down to the nearest whole number at vesting. The fractional amount is forfeited.
- (6) The RSUs vest and convert to shares in one installment on the third anniversary of the grant date.
- (7) The RSUs vest and convert to shares in three approximately equal installments on each of the first, second, and third anniversaries of the grant date. This is the final installment.

#### **Remarks:**

Executive Vice President, Quality

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.