Shinn Bryan Adair Form 4 April 02, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

(Middle)

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Shinn Bryan Adair Issuer Symbol U.S. SILICA HOLDINGS, INC. [SLCA]

(Month/Day/Year)

03/31/2019

5. Relationship of Reporting Person(s) to

(Check all applicable)

President & CEO

6. Individual or Joint/Group Filing(Check

10% Owner Other (specify

C/O U.S. SILICA HOLDINGS,

(Street)

(First)

INC., 24275 KATY FREEWAY, **SUITE 600** 

4. If Amendment, Date Original

3. Date of Earliest Transaction

X\_ Officer (give title

\_X\_\_ Director

below)

Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

KATY, TX 77494

Form filed by More than One Reporting Person

| (City)                               | (State)                                 | (Zip) Tabl  | le I - Non-D  | Perivative S  | Securi       | ties Acqu   | iired, Disposed of | , or Beneficial   | y Owned |
|--------------------------------------|---|---|---|---------------|--------------|---|--------------------|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |               | of (D)<br>5) | 5. Amount of Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) |                    | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
| Common<br>Stock                      | 03/31/2019                              |   | Code V  M(1)  | Amount 29,052 | . ,          | Price \$ 0  | 317,557            | D   |         |
| Common<br>Stock                      | 03/31/2019                              |   | F(2)  | 11,432        | D            | \$<br>17.36   | 306,125            | D   |         |
| Common<br>Stock                      | 04/01/2019                              |   | M(3)  | 7,039         | A            | \$ 0  | 313,164            | D   |         |
| Common<br>Stock                      | 04/01/2019                              |   | F(2)  | 2,770         | D            | \$<br>17.25   | 310,394            | D   |         |
|                                      | 04/01/2019                              |   | $M^{(4)}$   | 13,120        | A            | \$ 0  | 323,514            | D   |         |

#### Edgar Filing: Shinn Bryan Adair - Form 4

Common Stock

Common 5,163 D \$ 318,351  $F^{(2)}$ D 04/01/2019 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orderivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8<br>I<br>S<br>( |
|---|---|---|---|---|---|--|--------------------|---|-------------------------------------|------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                  |
| Restricted<br>Stock<br>Units                        | (5)   | 03/31/2019                              |   | M                                       | 29,052  | <u>(6)</u>   | <u>(6)</u>         | Common<br>Stock   | 29,052                              |                  |
| Restricted<br>Stock<br>Units                        | <u>(5)</u>  | 04/01/2019                              |   | M                                       | 7,039   | <u>(7)</u>   | <u>(7)</u>         | Common<br>Stock   | 7,039                               |                  |
| Restricted<br>Stock<br>Units                        | <u>(5)</u>  | 04/01/2019                              |   | M                                       | 13,120  | <u>(8)</u>   | (8)                | Common<br>Stock   | 13,120                              |                  |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                 |       |  |  |
|---|---------------|-----------|-----------------|-------|--|--|
| . 0   | Director      | 10% Owner | Officer         | Other |  |  |
| Shinn Bryan Adair<br>C/O U.S. SILICA HOLDINGS, INC.<br>24275 KATY FREEWAY, SUITE 600<br>KATY TX 77494 | X             |           | President & CEO |       |  |  |

2 Reporting Owners

## **Signatures**

/s/ Robert M. Hayward, P.C. by Power of Attorney

04/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Scheduled vesting of restricted stock units granted on March 31, 2016.
- (2) Represents tax withholding on vested restricted stock units.
- (3) Scheduled vesting of restricted stock units granted on April 1, 2017.
- (4) Scheduled vesting of restricted stock units granted on April 1, 2018.
- (5) Each restricted stock unit represents a contingent right to receive one share of common stock upon vesting of the unit.
- (6) Restricted Stock Units granted on March 31, 2016 and vesting in three equal installments on the anniversaries of the grant date.
- (7) Restricted Stock Units granted on April 1, 2017 and vesting in three equal installments on the anniversaries of the grant date.
- (8) Restricted Stock Units granted on April 1, 2018 and vesting in three equal installments on the anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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