Rajgarhia Vivek Form 3 August 17, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MACOM Technology Solutions Holdings, Inc. [MTSI] Rajgarhia Vivek (Month/Day/Year) 08/07/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MACOM TECHNOLOGY (Check all applicable) **SOLUTIONS** HOLDINGS. 100 10% Owner Director **CHELMSFORD STREET** \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group SVP & GM, Lightwave Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person LOWELL, MAÂ 01851 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 51,907 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and General Securities Underlying Conversion Ownership Derivative Security
3. Title and Amount of Securities Underlying Conversion Ownership Ownership Or Exercise Form of General Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	11/13/2022	Common Stock	10,000	\$ 32.1	D	Â
Stock Option (Right to Buy)	(3)	11/09/2023	Common Stock	20,000	\$ 40.25	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F</b> **********************************	Director	10% Owner	Officer	Other	
Rajgarhia Vivek C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS 100 CHELMSFORD STREET LOWELL Â MA Â 01851	Â	Â	SVP & GM, Lightwave	Â	

#### **Signatures**

/s/ Clay Simpson, Attorney-in-Fact

08/17/2017

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes (i) 5,000 restricted stock units ("RSUs") granted on March 14, 2017, which vest as follows: 1,250 RSUs vest on February 15, 2018, 1,250 RSUs vest on February 15, 2021 and 1,250 RSUs vest on February 15, 2021, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (ii) 16,667 RSUs granted on July 20, 2016, which vest as follows: 8,333 RSUs vest on May 15, 2018 and 8,334 RSUs vest on May 15, 2019, provided that the
- (1) Reporting Person remains in continuous service with the Issuer through each vesting date; (iii) 3,404 RSUs granted on March 17, 2016, which vest as follows: 1,134 RSUs vest on February 15, 2018, 1,134 RSUs vest on February 15, 2020, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (Footnote 1 continues in the Remarks section)
- (2) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$64.22 per share.
- (3) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$66.96 per share.

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#### Remarks:

Footnote 1 continued: (iv) 7,639 RSUs granted on June 29, 2015, which vest on June 29, 2018,

#### Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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