#### Edgar Filing: SCHROCK CHARLES A - Form 4

Form 4	CHARLES A					
April 12, 201 <b>FORN</b>	Л				OMB A	PPROVAL
Check th	UNITEDS		ITIES AND EXCHANGE hington, D.C. 20549	COMMISSION	OMB Number:	3235-0287 January 31,
if no long subject to Section 1 Form 4 o Form 5	ger <b>STATEM</b> 16. pr		GES IN BENEFICIAL OV SECURITIES		stimated average urden hours per	
obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	) of the Public Ut	ility Holding Company Act vestment Company Act of 1	of 1935 or Section	n	
(Print or Type I	Responses)					
	Address of Reporting P CHARLES A	Symbol	Name and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer		
		INC. [T		(Check all applicable)		
(Last) 130 EAST H	(First) (M RANDOLPH STR	(Month/D	-	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) Chairman, President & CEO		
	(Street)		ndment, Date Original th/Day/Year)	6. Individual or Jo Applicable Line) _X_ Form filed by O		
CHICAGO,	, IL 60601			Form filed by M Person	Iore than One Re	eporting
(City)	(State) (	Zip) Table	e I - Non-Derivative Securities A	cquired, Disposed of	, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V Amount (D) Pric		D	
Common Stock				5,271.6047	I	By ESOP
Common Stock				1,380.746	I	by Stk Invest Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 3		5. Number Derivative Securities Acquired Disposed (Instr. 3, 4 5)	e (A) or of (D)	6. Date Exercisabl Expiration Date (Month/Day/Year)		7. Title and A Underlying Se (Instr. 3 and 4
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title
Phantom Stock Unit	<u>(1)</u>	04/08/2011		А		26.5462	2	(2)	(2)	Common Stock
Employee Stock Option (Right to buy)	\$ 41.58 (3)							02/11/2011	02/11/2020	Common Stock
Employee Stock Option (Right to buy)	\$ 42.12 (4)							02/12/2010	02/12/2019	Common Stock
Employee Stock Option (Right to buy)	\$ 44.73 (5)							12/10/2004	12/10/2013	Common Stock
Employee Stock Option (Right to buy)	\$ 48.11 ( <u>6)</u>							12/08/2005	12/08/2014	Common Stock
Employee Stock Option (Right to buy)	\$ 48.36 (7)							02/14/2009	02/14/2018	Common Stock
Employee Stock Option (Right to buy)	\$ 49.4 <u>(8)</u>							02/10/2012	02/10/2021	Common Stock
Employee Stock Option (Right to buy)	\$ 52.73 (9)							12/07/2007	12/07/2016	Common Stock
Employee Stock Option (Right to	\$ 54.85 (10)							12/07/2006	12/07/2015	Common Stock

buy)

Employee Stock Option (Right to buy)	\$ 58.65 (11)	05/17/2008	05/17/2017	Common Stock
Performance Rights	\$ 0 <u>(12)</u>	01/01/2012(12)	06/30/2012	Common Stock
Performance Rights	\$ 0 <u>(12)</u>	01/01/2013(12)	06/30/2013	Common Stock
Performance Rights	\$ 0 <u>(12)</u>	01/01/2014(12)	06/30/2014	Common Stock
Restricted Stock Units 2008	<u>(13)</u>	(14)	(14)	Common Stock
Restricted Stock Units 2009	<u>(13)</u>	(15)	(15)	Common Stock
Restricted Stock Units 2010	<u>(13)</u>	(16)	(16)	Common Stock
Restricted Stock Units 2011	<u>(13)</u>	(17)	(17)	Common Stock

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
Reporting O when Plante, Plantess	Director	10% Owner	Officer	Other			
SCHROCK CHARLES A 130 EAST RANDOLPH STREET CHICAGO, IL 60601	Х		Chairman, President & CEO				

### Signatures

By: Dane E. Allen, as Power of Attorney For: Mr. Schrock

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

04/12/2011

Date

- (1) These phantom stock units convert to common stock on a one-for-one basis.
- (2) Unless the participant has selected a later commencement date, distribution of stock and equivalents will commence within 60 days following the end of the calendar year in which occurs the participant's retirement or termination of service.
- (3) The option vests in four equal annual installments beginning on February 11, 2011.

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- (4) The option vests in four equal annual installments beginning on February 12, 2010.
- (5) The option vests in four equal annual installments beginning on December 10, 2004.
- (6) The option vests in four equal annual installments beginning on December 8, 2005.
- (7) The option vests in four equal annual installments beginning on February 14, 2009.
- (8) The option vests in four equal annual installments beginning on February 10, 2012.
- (9) The option vests in four equal annual installments beginning on December 7, 2007.
- (10) The option vests in four equal annual installments beginning on December 7, 2006.
- (11) The option vests in four equal annual installments beginning on May 17, 2008.
- Performance stock rights represent the right to receive shares of common stock of the Company, in the event certain performance goals(12) are satisfied. These goals are based on Company performance against an established industry benchmark, over a three year performance period. The final award of shares issued can be between 0% and 200% of the target award.
- (13) Each restricted stock unit represent a contingent right to receive one share of Company common stock.
- (14) The restricted stock units vest in four equal annual installments beginning on February 14, 2009.
- (15) The restricted stock units vest in four equal annual installments beginning on February 12, 2010.
- (16) The restricted stock units vest in four equal annual installments beginning on February 11, 2011.
- (17) The restricted stock units vest in four equal annual installments beginning on February 10, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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