

Edgar Filing: ACTIVE VOICE CORP - Form SC 13D/A

ACTIVE VOICE CORP
Form SC 13D/A
February 16, 2001

OMB APPROVAL

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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13D
(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO
RULE 13d-2(a)

(Amendment No. 1)

Active Voice Corp.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

004938106

(CUSIP Number)

Allen B. Holeman, Bear, Stearns & Co. Inc.
115 South Jefferson Road, Whippany, NJ 07981
(973) 793-2202

(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

February 12, 2001

(Date of Event which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box ☐.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

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(Continued on following pages)
(Page 1 of Pages)

(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(SC13D-07/98)

CUSIP No. 004938106 13D Page of Pages

1 NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

The Bear Stearns Companies Inc.**
IRS # 13-3286161

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
(a) ☐
(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS*

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) OR 2(e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

7 SOLE VOTING POWER

NUMBER OF

0

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SHARES	8	SHARED VOTING POWER
BENEFICIALLY		
OWNED BY	847,944	
EACH	9	SOLE DISPOSITIVE POWER
REPORTING	0	
PERSON	10	SHARED DISPOSITIVE POWER
WITH	847,944	

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

847,944

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES*

☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

7.34%

14 TYPE OF REPORTING PERSON*

HC

*SEE INSTRUCTIONS BEFORE FILLING OUT!

1 NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Bear, Stearns & Co. Inc.**
IRS # 13-3299429

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐
(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS*

WC, OO

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED

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PURSUANT TO ITEMS 2(d) OR 2(e)

[]

6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

7 SOLE VOTING POWER

NUMBER OF

0

SHARES

BENEFICIALLY

8 SHARED VOTING POWER

OWNED BY

847,944

EACH

9 SOLE DISPOSITIVE POWER

REPORTING

0

PERSON

10 SHARED DISPOSITIVE POWER

WITH

847,944

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

847,944

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES*

[]

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

7.34%

14 TYPE OF REPORTING PERSON*

BD

*SEE INSTRUCTIONS BEFORE FILLING OUT!

CUSIP No. 004938106

13D

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Schedule 13D Amendment No. 1

This statement constitutes Amendment No. 1 to the statement on Schedule 13D

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(the "Schedule 13D") filed with the Securities and Exchange Commission by Bear, Stearns & Co. Inc. ("Bear Stearns") with respect to its ownership of the Common Stock of Active Voice Corp. (the "Issuer").

Item 3: Source and Amount of Funds or Other Consideration

Working capital of Bear Stearns & Co. The aggregate purchase price of the 157,267 shares of Common Stock was approximately \$2,476,955.

Item 5: Interest in Securities of the Issuer (as of 02/12/01)

- (a) The responses of Bear Stearns to Rows (11) through (13) of the cover page of this Amendment No. 1 to Schedule 13D are incorporated herein by reference. To the best of Bear Stearns' knowledge, none of its executive officers or directors beneficially own any Common Stock of the Issuer.
- (b) The responses of Bear Stearns to Rows (7) through (10) of the cover page of this Amendment No. 1 to Schedule 13D are incorporated herein by reference.
- (c) Since the date of its initial filing on Schedule 13D, Bear Stearns has effected transactions in the Common Stock of the Issuer. Information concerning transactions in the Common Stock effected by Bear Stearns is set forth on Appendix I.
- (d) Not Applicable.
- (e) Through February 12, 2001, Bear Stearns purchased an additional 157,267 shares of Active Voice Corp. Accordingly, Bear Stearns increased its beneficial ownership by more than one full percentage points of the Common Stock of the Issuer since the date of its initial filing.

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**Bear Stearns & Co. is a subsidiary of The Bear Stearns Companies Inc.

Signature:

After reasonable inquiry and to the best of my knowledge and belief,
I certify that the information set forth in this statement is true,
complete and correct.

February 16, 2001

(Date)

/S/

(Signature)

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Barry J. Cohen/Senior Managing Director

(Name/Title)

APPENDIX I BEAR, STEARNS & CO. INC.

Active Voice Corp.

Trades from 02/07/01 through 02/12/01

(Various Firm Accounts)

***** 02/12 *****

100	ACTIVE VOICE CORP	16 1/2	1,650.00
900	ACTIVE VOICE CORP	16 1/2	14,850.00
1,000	ACTIVE VOICE CORP	16 1/2	16,500.00
1,000	ACTIVE VOICE CORP	16 1/2	16,500.00
2,000	ACTIVE VOICE CORP	16 1/2	33,000.00
8,000	ACTIVE VOICE CORP	16.0788	128,630.40
10,000	ACTIVE VOICE CORP	15 5/16	153,125.00
25,000	ACTIVE VOICE CORP	15.7000	392,500.00
50,000	ACTIVE VOICE CORP	15.5890	779,450.00
1,000	ACTIVE VOICE CORP	15 1/4	15,250.00
400-	ACTIVE VOICE CORP	15 9/16	6,224.79-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
200-	ACTIVE VOICE CORP	16 7/16	3,287.39-
300-	ACTIVE VOICE CORP	15 3/8	4,612.34-
1,000-	ACTIVE VOICE CORP	16 1/16	16,061.96-
1,000-	ACTIVE VOICE CORP	16 1/8	16,124.46-
2,000-	ACTIVE VOICE CORP	15 13/16	31,623.94-
2,000-	ACTIVE VOICE CORP	15 3/8	30,748.97-
2,800-	ACTIVE VOICE CORP	16 1/2	46,198.46-
3,100-	ACTIVE VOICE CORP	15.3550	47,598.90-
9,000-	ACTIVE VOICE CORP	15.7400	141,655.26-
19,000-	ACTIVE VOICE CORP	15.6190	296,751.10-
1,800	ACTIVE VOICE CORP	16 1/2	29,700.00
2,000	ACTIVE VOICE CORP	15.3550	30,710.00
5,700	ACTIVE VOICE CORP	15.7400	89,718.00
12,000	ACTIVE VOICE CORP	15.6190	187,428.00
1,000	ACTIVE VOICE CORP	16 1/2	16,500.00
1,100	ACTIVE VOICE CORP	15.3550	16,890.50
3,300	ACTIVE VOICE CORP	15.7400	51,942.00
7,000	ACTIVE VOICE CORP	15.6190	109,333.00

***** 02/09 *****

500	ACTIVE VOICE CORP	15 9/16	7,781.25
600	ACTIVE VOICE CORP	15 1/2	9,300.00
1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
1,000	ACTIVE VOICE CORP	15 5/8	15,625.00
1,000	ACTIVE VOICE CORP	15 5/8	15,625.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 5/8	15,625.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15	15,000.00
2,000	ACTIVE VOICE CORP	15 9/16	31,125.00

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2,000	ACTIVE VOICE CORP	15 3/8	30,750.00
3,000	ACTIVE VOICE CORP	15 5/8	46,875.00
100	ACTIVE VOICE CORP	15 9/16	1,556.25
100	ACTIVE VOICE CORP	15 3/4	1,575.00
100	ACTIVE VOICE CORP	15 9/16	1,556.25
100	ACTIVE VOICE CORP	15 9/16	1,556.25
100	ACTIVE VOICE CORP	15 1/2	1,550.00
100	ACTIVE VOICE CORP	15 1/2	1,550.00
100	ACTIVE VOICE CORP	15 1/2	1,550.00
100	ACTIVE VOICE CORP	15 7/16	1,543.75
400	ACTIVE VOICE CORP	15 11/16	6,275.00
400	ACTIVE VOICE CORP	15 9/16	6,225.00
600	ACTIVE VOICE CORP	15 1/2	9,300.00
600	ACTIVE VOICE CORP	15 7/16	9,262.50
600	ACTIVE VOICE CORP	15 7/16	9,262.50
600	ACTIVE VOICE CORP	15 7/16	9,262.50
600	ACTIVE VOICE CORP	15 7/16	9,262.50
700	ACTIVE VOICE CORP	15 9/16	10,893.75
700	ACTIVE VOICE CORP	15 9/16	10,893.75
700	ACTIVE VOICE CORP	15 1/2	10,850.00
800	ACTIVE VOICE CORP	15 1/2	12,400.00
900	ACTIVE VOICE CORP	15 9/16	14,006.25
900	ACTIVE VOICE CORP	15 1/2	13,950.00
900	ACTIVE VOICE CORP	15 1/2	13,950.00
900	ACTIVE VOICE CORP	15 7/16	13,893.75
1,000	ACTIVE VOICE CORP	15 3/4	15,750.00
1,000	ACTIVE VOICE CORP	15 3/4	15,750.00
1,000	ACTIVE VOICE CORP	15 3/4	15,750.00
1,000	ACTIVE VOICE CORP	15 3/4	15,750.00
1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
1,000	ACTIVE VOICE CORP	15 11/16	15,687.50
1,000	ACTIVE VOICE CORP	15 5/8	15,625.00
1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 3/8	15,375.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 1/2	15,500.00
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 7/16	15,437.50
1,000	ACTIVE VOICE CORP	15 3/8	15,375.00
1,900	ACTIVE VOICE CORP	15 9/16	29,568.75
2,500	ACTIVE VOICE CORP	15.2000	38,000.00
5,000	ACTIVE VOICE CORP	15 1/8	75,625.00
100-	ACTIVE VOICE CORP	15 3/8	1,537.44-
100-	ACTIVE VOICE CORP	15 1/4	1,524.94-

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100-	ACTIVE VOICE CORP	15 1/4	1,524.94-
100-	ACTIVE VOICE CORP	15 3/16	1,518.69-
1,000-	ACTIVE VOICE CORP	15 7/16	15,436.98-
300-	ACTIVE VOICE CORP	15 7/16	4,631.09-
300-	ACTIVE VOICE CORP	15 1/4	4,574.84-
700-	ACTIVE VOICE CORP	15 1/2	10,849.63-
1,000-	ACTIVE VOICE CORP	15 1/2	15,499.48-
2,500-	ACTIVE VOICE CORP	15 1/4	38,123.72-
5,900-	ACTIVE VOICE CORP	15.4500	91,151.96-
12,300-	ACTIVE VOICE CORP	15.4850	190,459.15-
12,300	ACTIVE VOICE CORP	15.4850	190,465.50
5,900	ACTIVE VOICE CORP	15.4500	91,155.00

***** 02/08 *****

2,000-	ACTIVE VOICE CORP	16 1/8	32,248.92-
6,000-	ACTIVE VOICE CORP	16 1/8	96,746.77-

***** 02/07 *****

1,000	ACTIVE VOICE CORP	15 9/16	15,562.50
500	ACTIVE VOICE CORP	16 7/8	8,437.50
3,000	ACTIVE VOICE CORP	16 7/8	50,625.00
3,500	ACTIVE VOICE CORP	16 7/8	59,062.50
5,000	ACTIVE VOICE CORP	15 7/8	79,375.00
500	ACTIVE VOICE CORP	15 7/8	7,937.50
100-	ACTIVE VOICE CORP	16 9/16	1,656.19-
100-	ACTIVE VOICE CORP	16 5/8	1,662.44-
100-	ACTIVE VOICE CORP	16	1,599.94-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
1,000-	ACTIVE VOICE CORP	16	15,999.46-
1,000-	ACTIVE VOICE CORP	15 15/16	15,936.96-
5,567	ACTIVE VOICE CORP	18 3/8	102,293.63