

Edgar Filing: StoneCastle Financial Corp. - Form NSAR-B

StoneCastle Financial Corp.
Form NSAR-B
February 28, 2014

PAGE 1
000 B000000 12/31/2013
000 C000000 0001578987
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 StoneCastle Financial Corp.
001 B000000 811-22853
001 C000000 2123546500
002 A000000 152 West 57th Street, 35th Floor
002 B000000 New York
002 C000000 NY
002 D010000 10019
003 000000 Y
004 000000 N
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006 000000 N
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007 C010100 1
008 A000001 StoneCastle Asset Management LLC
008 B000001 A
008 C000001 801-77433
008 D010001 New York
008 D020001 NY
008 D030001 10019
010 A000001 BNY Mellon
010 B000001 84-01761
010 C010001 Boston
010 C020001 MA
010 C030001 02108
011 A000001 Keefe, Bruyette & Woods, Inc.
011 B000001 8-10888
011 C010001 New York
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011 C030001 10019
012 A000001 Computershare Trust Company, N.A.
012 B000001 84-05925
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012 C030001 02021
013 A000001 Rothstein Kass & Company, P.C.
013 B010001 Roseland
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014 A000001 StoneCastle Securities, LLC
014 B000001 8-66434
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| 022 | B000002 | 43-0538770 |
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| 022 | A000003 | TARP Preferred Holdco IV, LLC. |
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| 022 | A000005 | TARP Preferred Holdco III, LLC. |
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| 022 | A000006 | TARP Preferred Holdco V, LLC. |
| 022 | B000006 | 80-0947322 |
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PAGE 7

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PAGE 8

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080 A000000 Chubb Group
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087 A010000 Common Stock
087 A020000 861780104
087 A030000 BANX
SIGNATURE ERIK MINOR
TITLE CFO