# Edgar Filing: PPG INDUSTRIES INC - Form 8-K

PPG INDUSTRIES INC Form 8-K December 26, 2006

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### FORM 8-K CURRENT REPORT

# Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 26, 2006 (December 26, 2006)

PPG INDUSTRIES, INC.

(Exact name of registrant as specified in its charter)

Pennsylvania 001-01687 25-0730780

(State or other jurisdiction (Commission (IRS Employer of incorporation) File Number) Identification No.)

One PPG Place Pittsburgh, Pennsylvania 15272

(Address of principal executive offices)

(Zip code)

Registrant s telephone number, including area code: (412) 434-3131

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2.):

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 5.04. Temporary Suspension of Trading Under Registrant s Employee Benefit Plans.

PPG Industries, Inc. (the Company ) previously sent a notice to participants in the Company s Employee Savings Plan (the Savings Plan ) informing them that the Savings Plan would be changing its recordkeeper. The notice stated that while this conversion is being made, participants in the Savings Plan would not be able access their accounts to direct or diversify their investments, obtain a loan from the Savings Plan or obtain a distribution from the Savings Plan. The notice also stated that participants would not be able to make investment changes in the Savings Plan starting on December 28, 2006 and ending on January 5, 2007. However, the Company has received notice from the administrators that the plan blackout period will not begin until 4:00 p.m. on December 29, 2006 and the Savings Plan participants have been informed of this change. This period is referred to as the Blackout Period. On December 26, 2006, pursuant to Section 306(a) of the Sarbanes-Oxley Act of 2002 and the SEC s rules promulgated thereunder, the Company sent an updated blackout trading restriction notice (the **BTR Notice**), which revised and updated the previous BTR Notice sent on December 13, 2006, informing its executive officers and directors of the change in the start of the Blackout Period from December 28 to December 29. Because the restrictions during the Blackout Period include restrictions on investment changes involving the Company s common stock held in the Savings Plan, the BTR Notice reiterates that the affected officers and directors will be prohibited during the Blackout Period from purchasing and selling shares of the Company s common stock (including derivative securities pertaining to such shares) acquired in connection with their employment as an executive officer or service as a director.

A copy of the revised **BTR Notice** is attached hereto as Exhibit 99.1 and is incorporated herein by reference. A participant in the Savings Plan, a security holder or other interested person may obtain, without charge, information regarding the Blackout Period, including the actual ending date of the Blackout Period, by contacting the Office of the General Counsel, PPG Industries, Inc., One PPG Place, Pittsburgh, Pennsylvania 15272, at (412) 434-3131, before and during the Blackout Period and for a period of two years thereafter.

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No.	<u>Description</u>
99.1	Revised Notice to Executive Officers and Directors of PPG Industries, Inc. regarding the Savings Plan Blackout Period and Trading Restrictions.

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#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 26, 2006 PPG INDUSTRIES, INC.

By: /s/ James C. Diggs

James C. Diggs

Senior Vice President, General Counsel

and Secretary

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### Exhibit Index

# **Exhibit No. Description**

99.1 Updated Notice to Executive Officers and Directors of PPG Industries, Inc. regarding the Savings Plan Blackout Period and Trading Restrictions.