#### DYKSTRA DAVID A

Form 4

October 24, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DYKSTRA DAVID A			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			WINTRUST FINANCIAL CORP [WTFC]	(Check all applicable)		
(Last) 9700 WFST	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/23/2017	Director 10% Owner X Officer (give title Other (specify below)		
	(C/1D, 0111	10/23/2017	SENIOR EVP AND COO			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		

ROSEMONT, IL 60018

(State)

(City)

	Form filed by More than One Reporting
	Person
Table I - Non-Derivative Securities Acq	uired Disposed of or Repeticially Owner

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/23/2017		M	9,808	A	\$ 44.11	188,604	D	
Common Stock	10/23/2017		M	12,668	A	\$ 44.11	201,272	D	
Common Stock	10/23/2017		S	22,476	D	\$ 79.86 (1)	178,796	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Opion (right to buy)	\$ 44.11	10/23/2017		M		9,808	01/22/2017	01/22/2022	Common Stock	14
Non-Qualified Stock Option (right to buy)	\$ 44.11	10/23/2017		M		12,668	01/22/2017	01/22/2022	Common Stock	19

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DYKSTRA DAVID A 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018

SENIOR EVP AND COO

# **Signatures**

/s/Kathleen M. Boege, Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$79.23 to \$81.19, (1) inclusive. The reporting person undertakes to provide to the SEC, the issuer and any security holder full information regarding the number of shares and the prices at which the shares were sold.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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