# Edgar Filing: OLICKER RICHARD - Form 4

### **OLICKER RICHARD**

Form 4

November 12, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 4 WASHINGTON, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP [ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a Holding Company Act of 1935 or Section 30(h) of the Investment Company A (Print or Type Responses) \_\_\_\_\_\_ 1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol Olicker Richard Steven Madden, Ltd; SHOO

(Last) (First) (Middle) 3. IRS Identifi- 4. Statement for Month/Day/Year [ ] cation Number [X] of Reporting Person, if an entity (voluntary) 11/05/2002 c/o Steven Madden, Ltd. 11/07/2002 Pres 52-16 Barnett Avenue \_\_\_\_\_ 5. If Amendment, Date of 7. I (Street) Original (Month/Day/Year) ( )

[X] [ ] Long Island City, NY 11104 F \_\_\_\_\_\_ (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of \_\_\_\_\_\_

5. Amount of 1. Title 2. Trans- 2A.Deemed 3.Trans- 4. Securities Acquired (A) action Execution action or Disposed of (D)

Date Date, if Code (Instr. 3, 4 and 5)

any (Instr. 8) Securities Beneficially Security (Instr.3) Owned Follow (Month/Day/ Reported (Month/ Transaction ( Year) Day/Year) (Instr. 3 and Code V Amount (A) or (D) Price 176,200(5)

Common Stock, 11/05/02 11/07/02 P 500(1) (A) \$8.00 par value \$0.0001 per share ("Common Stock")

Common Stock	11/05/02	11/07/02	P	2,000(2)	(A)	\$8.00	176,200(5)
Common Stock	11/07/02	11/08/02	Р	20 <b>,</b> 400(3)	(A)	\$8.00	176 <b>,</b> 200(5)

6. F Ρ (

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Common Stock	11/07/02	11/08/02	P	25,000(4)	(A)	\$8.00	176,200(5)
Common Stock	11/05/02	11/07/02	S	500	(D)	\$17.10	176,200(5)
Common Stock	11/05/02	11/07/02	S	2,000	(D)	\$17.00	176,200(5)
Common Stock	11/07/02	11/08/02	S	20,400	(D)	\$16.16	176,200(5)
Common Stock	11/07/02	11/08/02	S	25,000	(D)	\$16.16	176,200(5)

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (E.G., puts, calls, warrants, options, convertible securities)

Security

1. Title of 2. Conversion 3. Transaction 3A.Deemed 4. Transaction 5. Number of Derivative or Exercise Date Execution Code Derivative Security Price of (Month/Day/ Date, if (Instr. 8) Securities (Instr. 3) Derivative Year) any Acquired (A (Mo/Dy/Yr)

Acquired (A) or Disposed of (D)

(Instr. 3, 4, and 5)

Code V (A) (D)

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (E.G., puts, calls, warrants, options, convertible securities)

- 6. Date (Month/Day/Year)
- (Instr. 3 and 4)
- Date 7. Title and Amount 8. Price of 9. Number of 10. Ownership 11. Na
  Exercisable of Underlying Derivative Derivative Form of In
  and Expiration Securities Security Securities Derivative Be Beneficially Security: (Instr. 5) Owned Follow- Direct (D) or

ing Reported Indirect (I) Transactions(s) (Instr. 4) (Instr. 4)

Amount

Date Expir- or Number cisable Date Title of Shares

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#### Explanation of Responses:

- (1) On November 5, 2002, the Reporting Person exercised options to purchase 500 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.
- (2) On November 5, 2002, the Reporting Person exercised options to purchase 2,000 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.
- (3) On November 7, 2002, the Reporting Person exercised options to purchase 20,400 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.
- (4) On November 7, 2002, the Reporting Person exercised options to purchase 25,000 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.
- (5) As of November 11, 2002 (after giving effect to the transactions reported on this Form 4), the Reporting Person held 1,200 shares of Common Stock directly and held options to purchase 175,000 shares of Common Stock.

/s/ Richard S. Olicker November 11, 2002
-----\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction  $4\left(b\right)\left(v\right)$  .
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

  Note: File three copies of this Form, one of which must be manually

File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.