FORMFACTOR INC

Form 4

February 21, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * St Dennis Thomas

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) FORMFACTOR INC [FORM]

3. Date of Earliest Transaction

7005 SOUTHFRONT ROAD

(Street)

(Month/Day/Year) 02/16/2017

_X__ Director 10% Owner Other (specify

(Check all applicable)

Officer (give title below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

LIVERMORE, CA 94551

(City)	(State)	(Zip) Tal	ble I - Non-	-Derivative	Securi	ities Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f (D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/16/2017		M	105,100	A	\$ 7.52	270,033	D	
Common Stock	02/16/2017		S	5,100	D	\$ 11.975 (1)	264,933	D	
Common Stock	02/16/2017		S	100,000	D	\$ 11.7944 (2)	164,933	D	
Common Stock	02/17/2017		M	84,214	A	\$ 7.52	249,147	D	
Common Stock	02/17/2017		S	6,249	D	\$ 11.9 (1)	242,898	D	

Edgar Filing: FORMFACTOR INC - Form 4

Common Stock	02/17/2017	S	77,965	D	\$ 11.8501 (3)	164,933	D
Common Stock	02/21/2017	M	7,368	A	\$ 7.52	172,301	D
Common Stock	02/21/2017	S	7,368	D	\$ 11.95 (1)	164,933	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Non-Qualified Stock Option (right to buy)	\$ 7.52	02/16/2017		M	105,100	<u>(4)</u>	09/13/2017	Common Stock	10
Non-Qualified Stock Option (right to buy)	\$ 7.52	02/17/2017		M	84,214	<u>(4)</u>	09/13/2017	Common Stock	84
Non-Qualified Stock Option (right to buy)	\$ 7.52	02/21/2017		M	7,368	<u>(4)</u>	09/13/2017	Common Stock	7

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
St Dennis Thomas 7005 SOUTHFRONT ROAD LIVERMORE, CA 94551	X					

Reporting Owners 2

Signatures

By: /s/ Jason Cohen, Attorney-in-Fact For: Thomas St. Dennis

02/21/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares sold at an exact price.
 - Price represents the weighted average sale price for the transaction reported. Price range of shares sold is \$11.75 through \$11.875.
- (2) Reporting person undertakes to provide upon request by the SEC staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
 - Price represents the weighted average sale price for the transaction reported. Price range of shares sold is \$11.85 through \$11.875.
- (3) Reporting person undertakes to provide upon request by the SEC staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (4) The Stock Option was granted pursuant to the Issuer's 2002 Equity Incentive Plan and vest over 4 years, with 25% vesting on September 13, 2011 and the remainder vesting in equal monthly installments over the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3